
Update: Prevention after Stroke

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Hellenic
Stroke
Organization



Disclosures

Speaker fees/Advisory Boards/Research support

Amgen; Bayer; BMS/Pfizer; Boehringer-Ingelheim; Elpen; European Union; Galenica; Sanofi; Winmedica

Agenda

Atrial fibrillation

- Aspirin for AF patients?
- NOAC or VKA?
- SAME-TTR to select oral anticoagulant?
- When to restart OAC after ischemic stroke?
- Is there a role for left atrial appendage occlusion?
- Carotid filter for stroke prevention?

Heart failure with sinus rhythm

- Is there a role for OAC?

Atherosclerotic stroke

- low-dose rivaroxaban & aspirin
- LDL targets

Minor strokes

- Dual antiplatelet treatment: for how long?

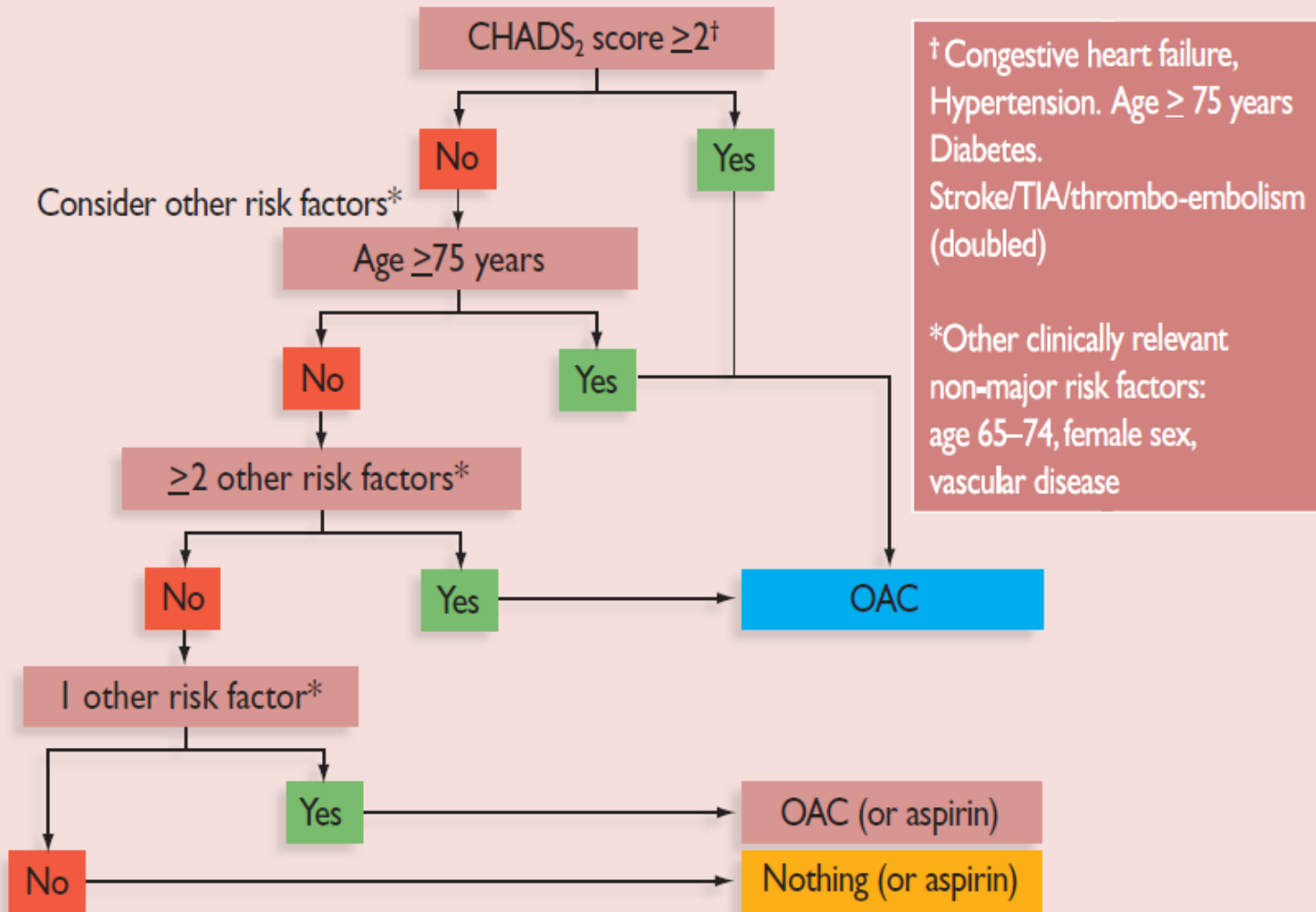
PFO

- Closure or medical treatment?
- OAC or aspirin in non-closed PFOs?

ESUS

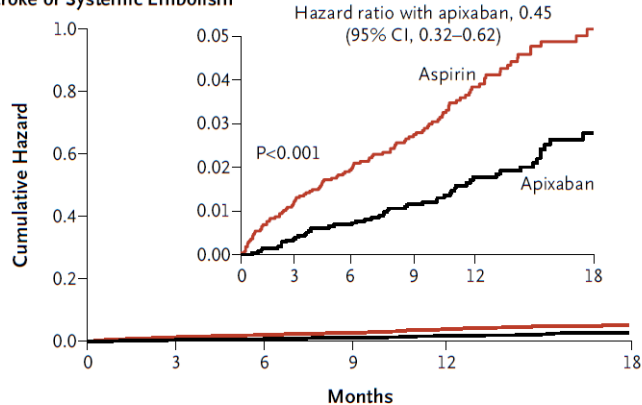
- ESUS vs. cryptogenic
- NAVIGATE ESUS and RE-SPECT ESUS results
- Potential explanation and implications for future research

Aspirin for AF patients? -ESC Guidelines 2010



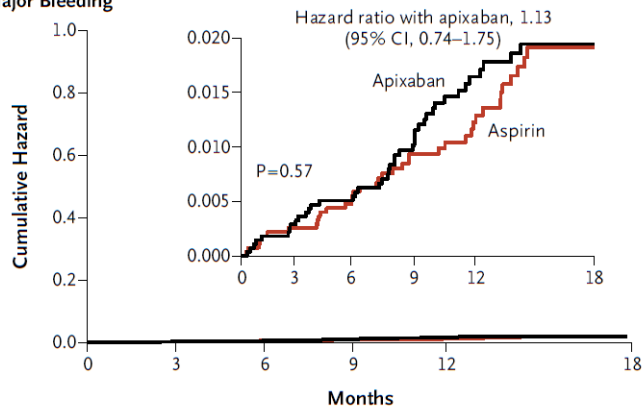
Aspirin for AF patients? –AVERROES & ESC Guidelines 2016

A Stroke or Systemic Embolism

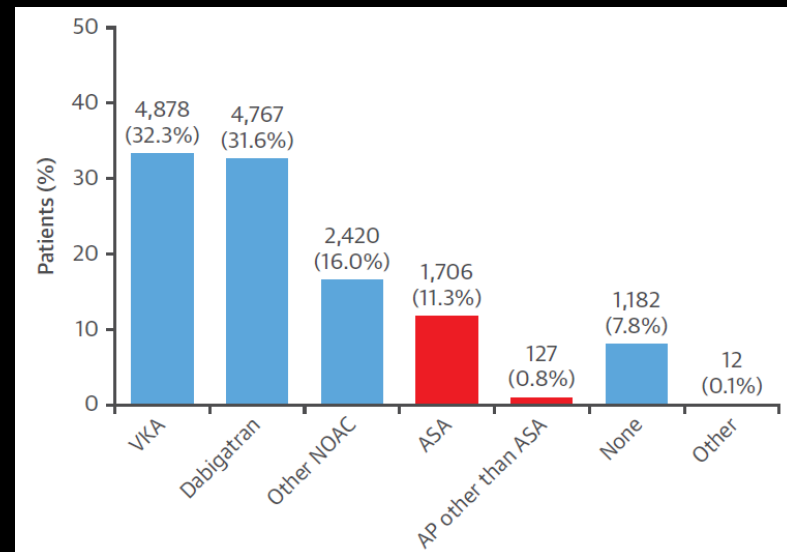
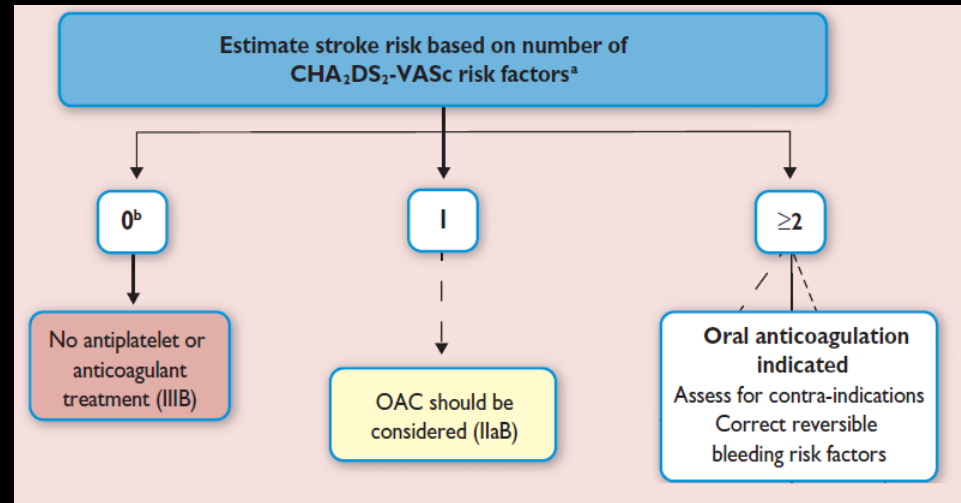


No. at Risk						
Aspirin	2791	2716	2530	2112	1543	628
Apixaban	2808	2758	2566	2125	1522	615

B Major Bleeding



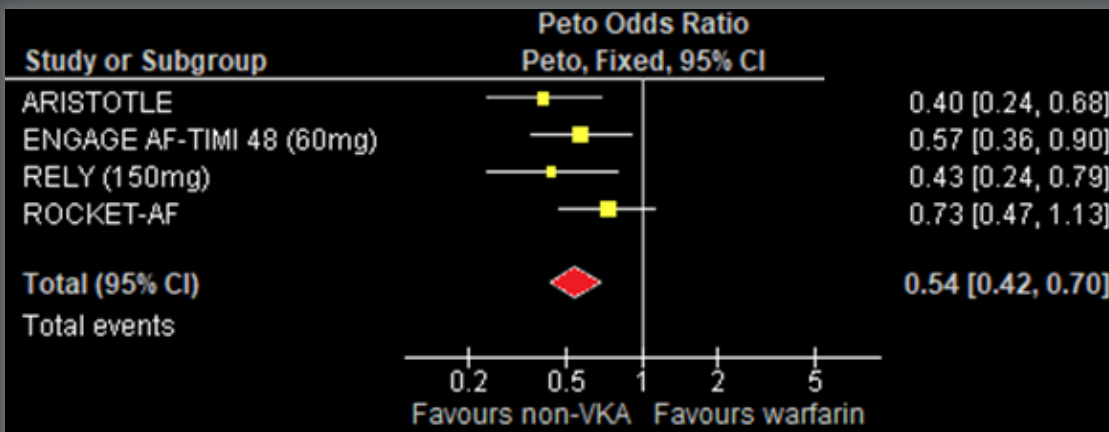
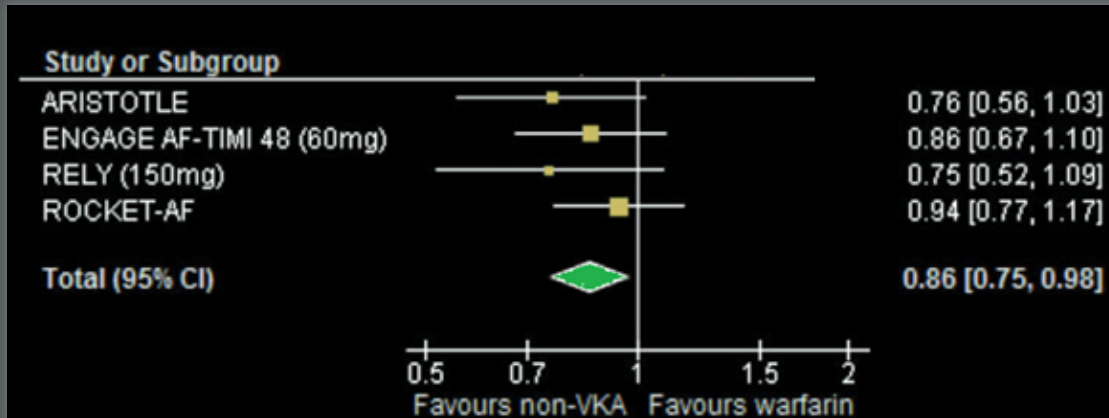
No. at Risk						
Aspirin	2791	2738	2557	2140	1571	642
Apixaban	2808	2759	2566	2120	1521	622



Kirchhoff, et al. Eur Heart Journal 2016

Huisman, et al. JACC 2017

NOAC vs. VKA?



Systematic Review

International Journal of Stroke

Nonvitamin-K-antagonist oral anticoagulants versus warfarin in patients with atrial fibrillation and previous stroke or transient ischemic attack: An updated systematic review and meta-analysis of randomized controlled trials

George Ntaios¹, Vasileios Papavasileiou², Hans-Chris Diener³, Konstantinos Makris¹ and Patrik Michel⁴

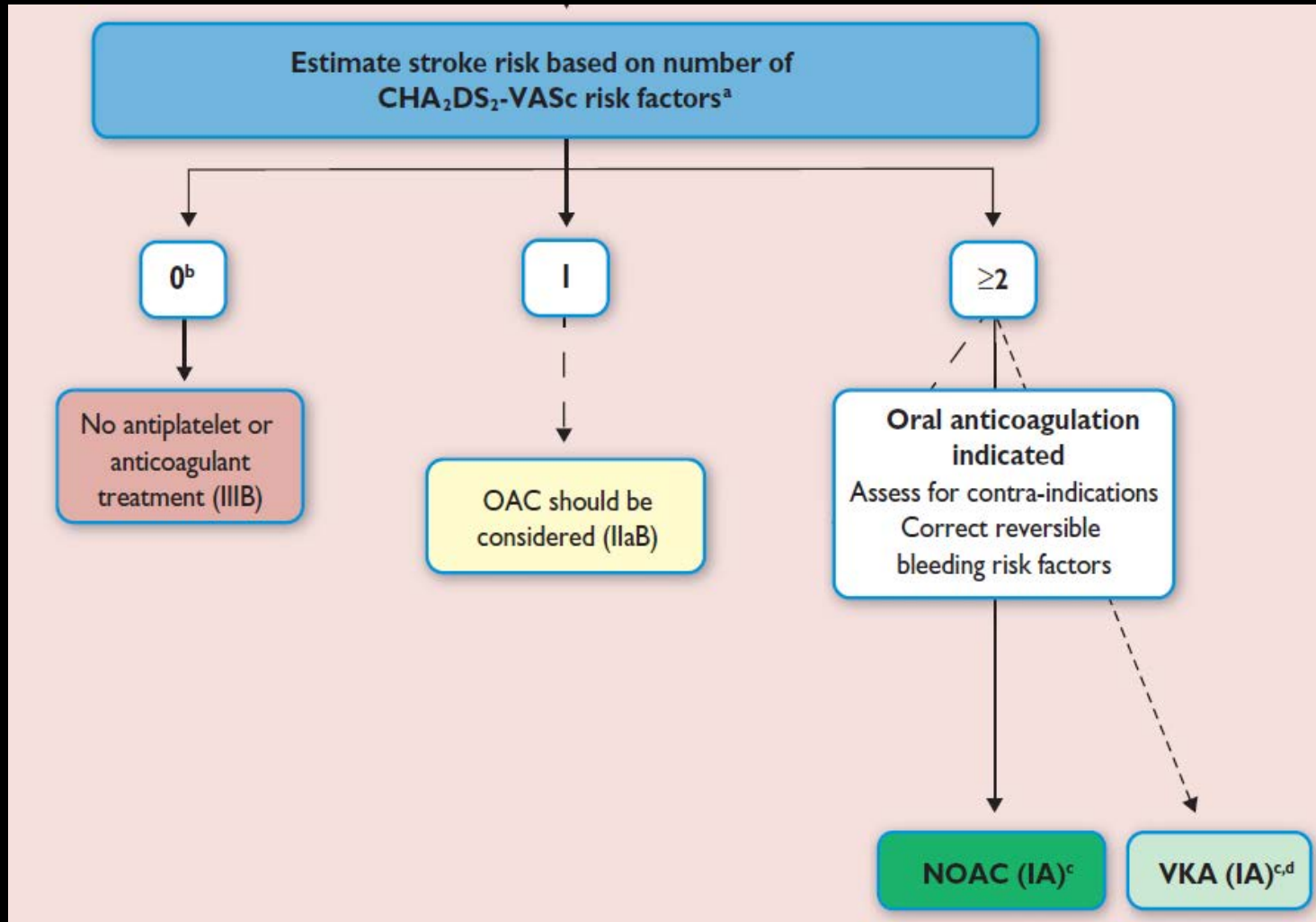
Abstract
Background: In a previous systematic review and meta-analysis, we assessed the efficacy and safety of nonvitamin-K-antagonist oral anticoagulants versus warfarin in patients with atrial fibrillation and stroke or transient ischemic attack. Since then, new information became available.
Aims: The aim of the present work was to update the results of the previous systematic review and meta-analysis.
Methods: We searched PubMed until 24 August 2016 for randomized controlled trials using the following search items: "atrial fibrillation" and "anticoagulation" and "warfarin" and "previous stroke or transient ischemic attack." Eligible studies had to be phase III trials in patients with atrial fibrillation comparing warfarin with nonvitamin-K-antagonist oral anticoagulants currently on the market or with the intention to be brought to the market in North America or Europe. The outcomes assessed in the efficacy analysis included stroke or systemic embolism, stroke, ischemic or unknown stroke, disabling or fatal stroke, hemorrhagic stroke, cardiovascular death, death from any cause, and myocardial infarction. The outcomes assessed in the safety analysis included major bleeding, intracranial bleeding, and major gastrointestinal bleeding. We performed fixed effects analyses on intention-to-treat basis.
Results: Among 183 potentially eligible articles, four were included in the meta-analysis. In 20,500 patients, compared to warfarin, nonvitamin-K-antagonist oral anticoagulants were associated with a significant reduction of stroke/systemic embolism (relative risk reduction: 13.7%, absolute risk reduction: 0.78%, number needed to treat to prevent one event: 127), hemorrhagic stroke (relative risk reduction: 50.0%, absolute risk reduction: 0.63%, number needed to treat: 157), any stroke (relative risk reduction: 13.1%, absolute risk reduction: 0.7%, number needed to treat: 142), and intracranial hemorrhage (relative risk reduction: 46.1%, absolute risk reduction: 0.88%, number needed to treat: 113) over 1.8–2.8 years.
Conclusions: This updated meta-analysis in 20,500 atrial fibrillation patients with previous stroke or transient ischemic attack shows that compared to warfarin nonvitamin-K-antagonist oral anticoagulants are associated with a significant reduction of stroke, stroke or systemic embolism, hemorrhagic stroke, and intracranial bleeding.
Keywords: Apixiban, dabigatran, edoxaban, rivaroxaban, warfarin
 Received 3 November 2016; accepted 6 February 2017

Introduction
 In a previous systematic review and meta-analysis, we assessed the efficacy and safety of nonvitamin-K-antagonist oral anticoagulants (non-VKAs) versus warfarin in patients with atrial fibrillation (AF) and stroke or

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⁴Stroke Center, Centre Hospitalier Universitaire Vaudois and University of Lausanne, Lausanne, Switzerland
Corresponding author:
 George Ntaios, Department of Medicine, University of Thessaly, Larissa, Greece.
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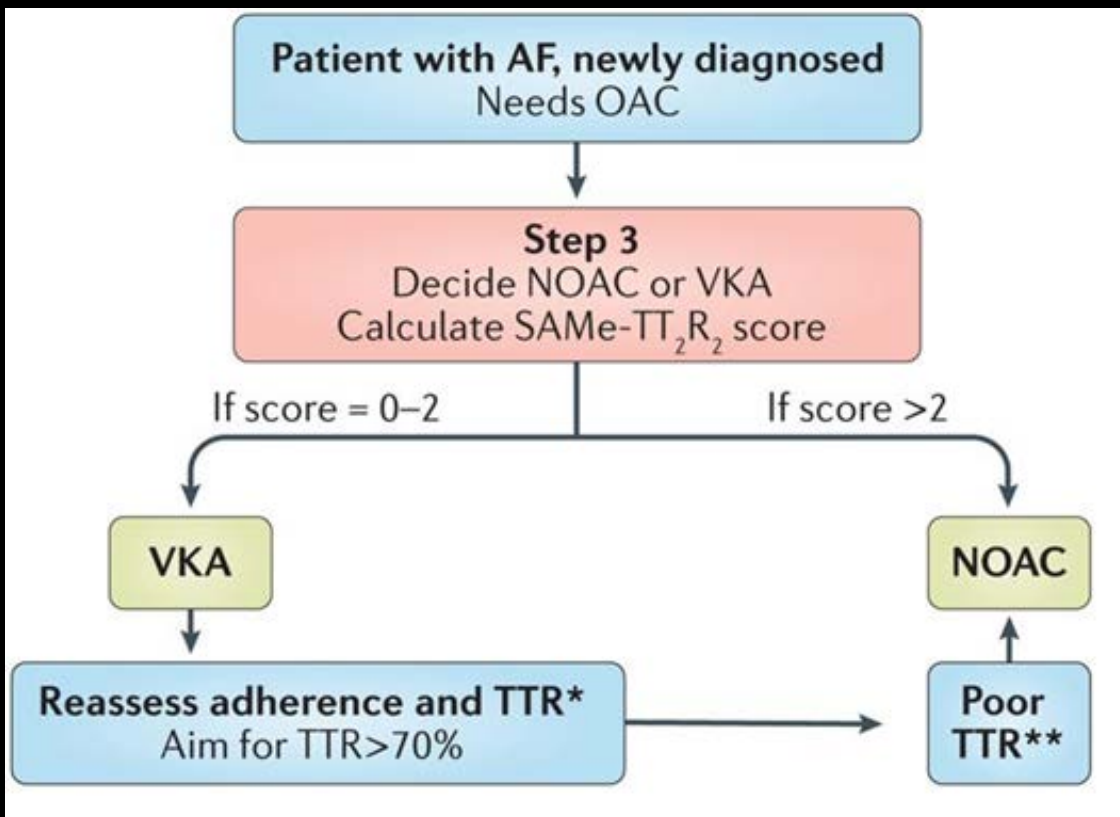
International Journal of Stroke, 0(0)

NOAC vs. VKA? – ESC Guidelines 2016

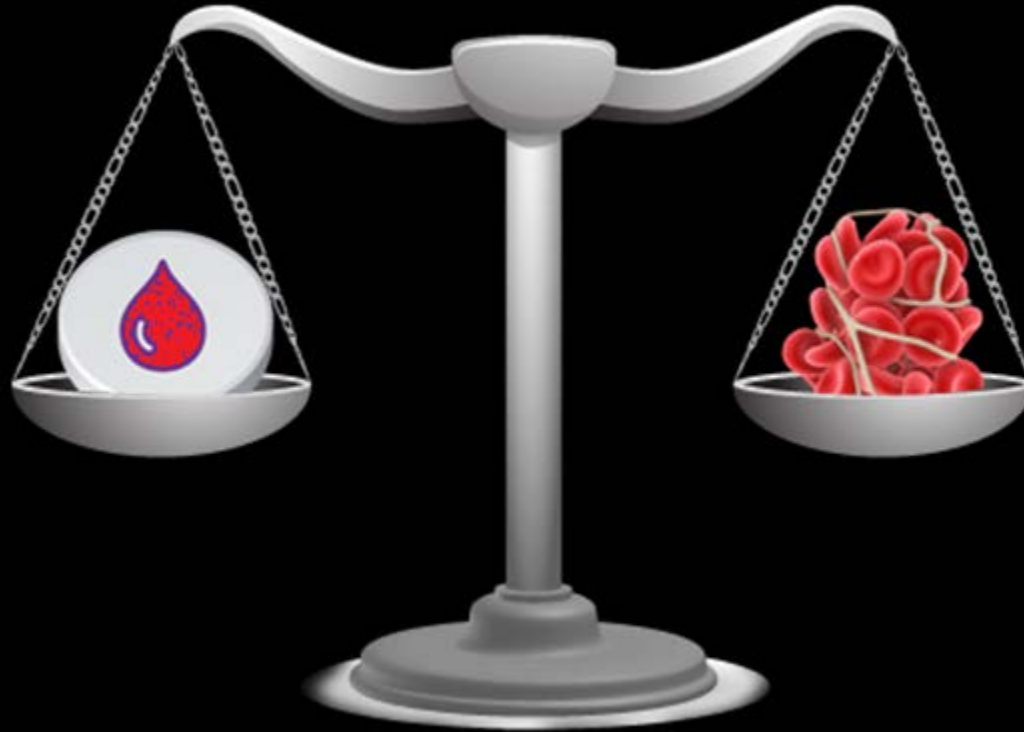


SAME-TT₂R₂ for OAC selection

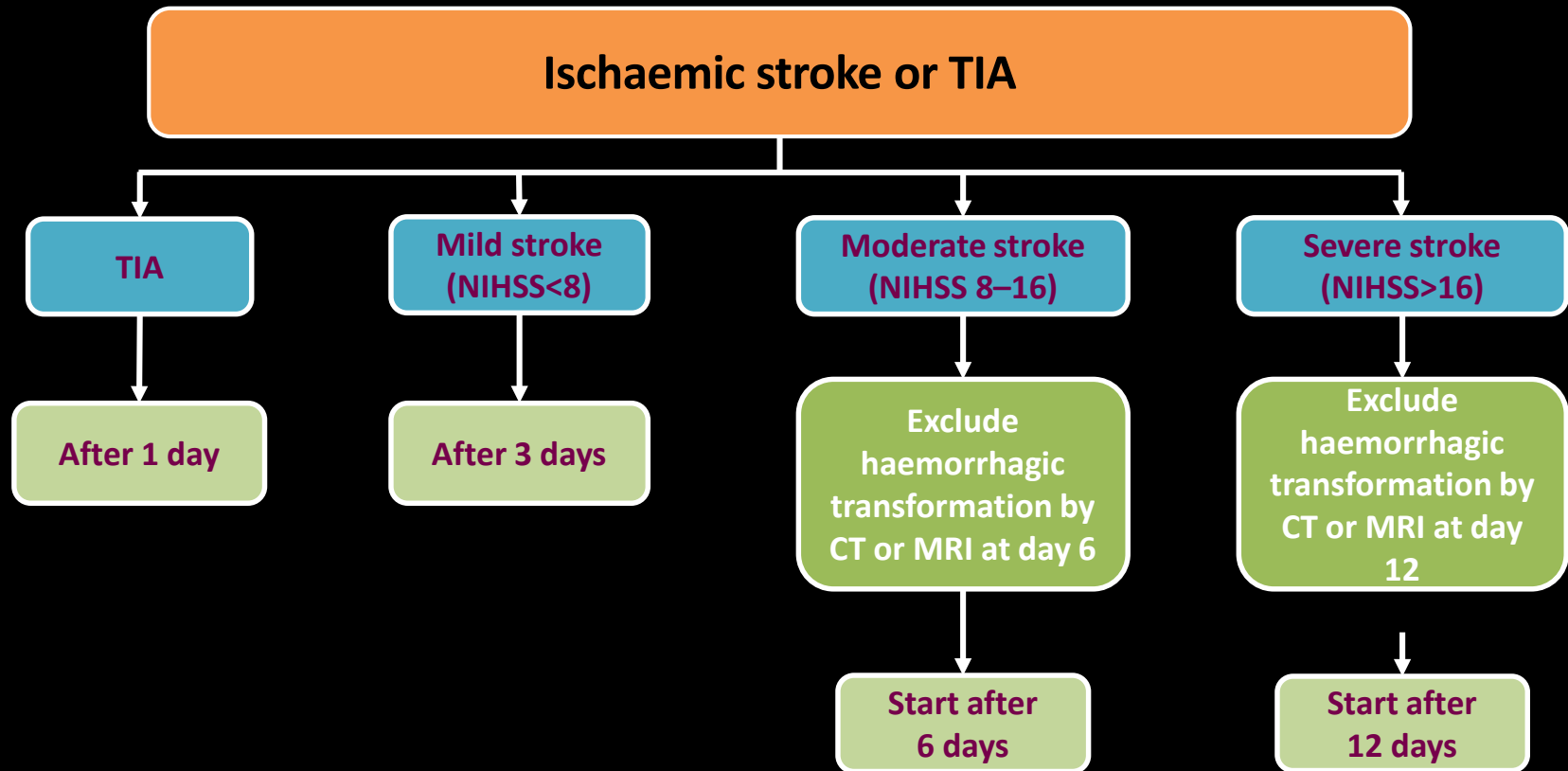
Condition/influencing factor	Points
S ex (female)	1
A ge (<60 years)	1
M edical history (history of more than two of the following: hypertension, diabetes, CAD, PAD, heart failure, stroke; pulmonary, hepatic, or renal disease)	1
T reatment (interacting medications e.g. amiodarone)	1
T obacco use (within 2 years)	2
R ace (non-Caucasian)	2



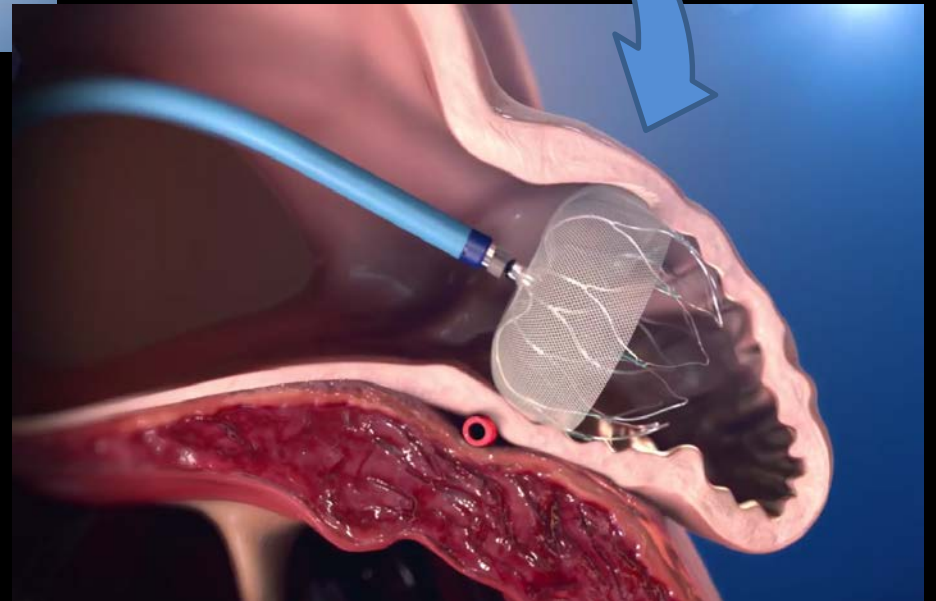
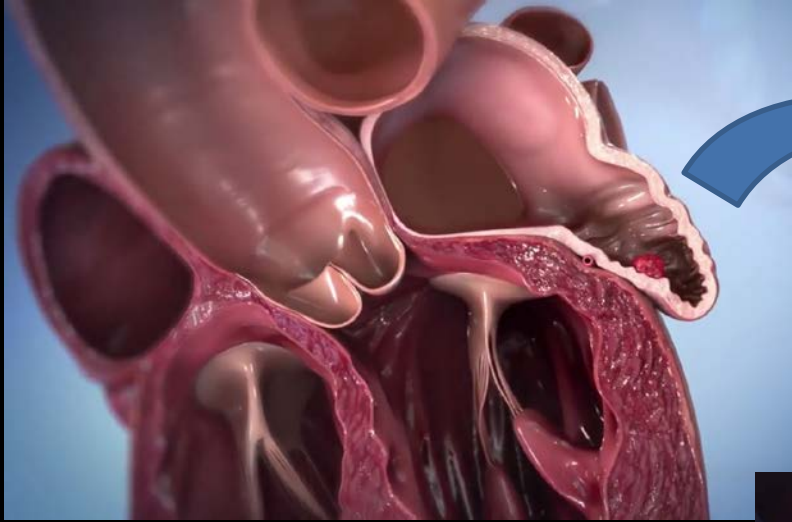
Start anticoagulants - how soon (or late)?



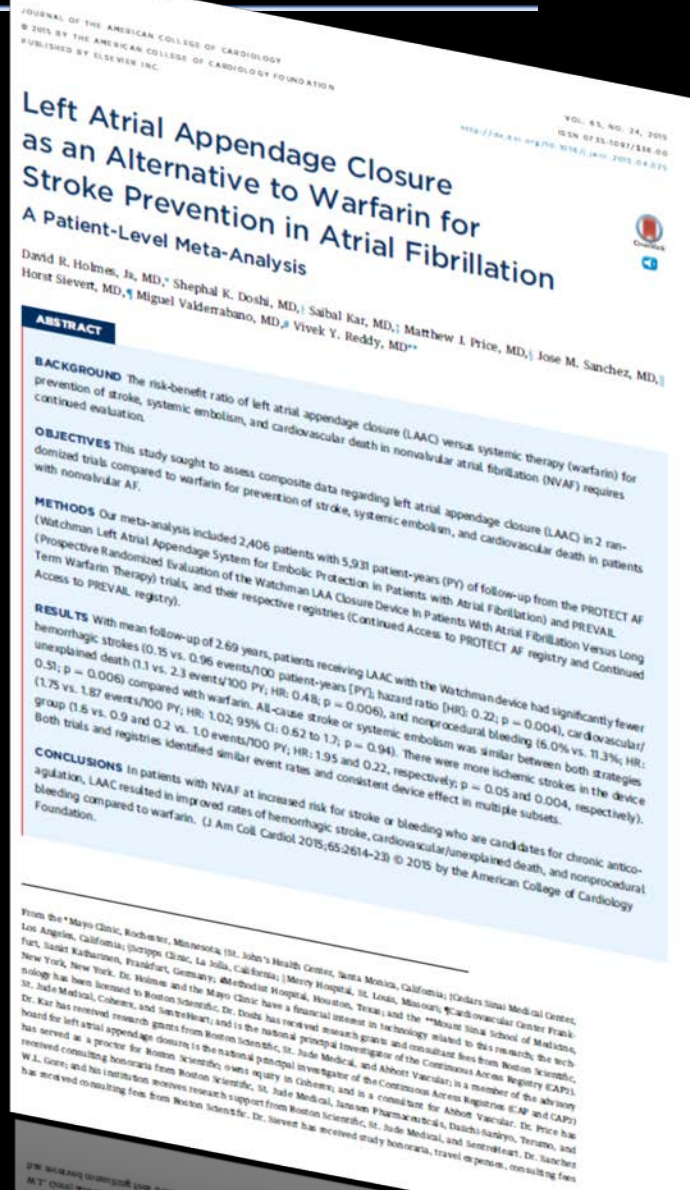
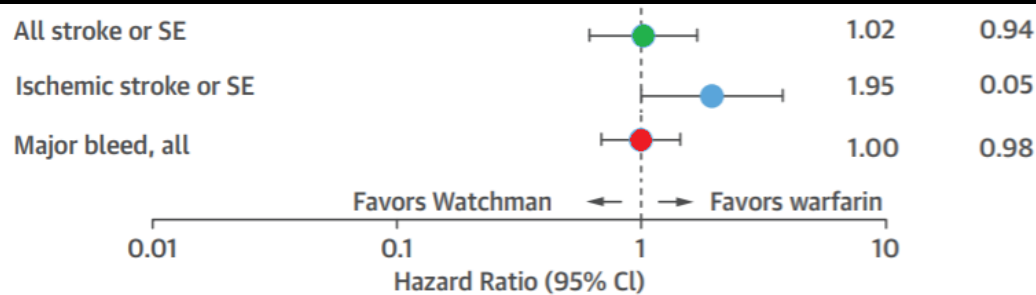
Start anticoagulants - how soon (or late)? / 1-3-6-12



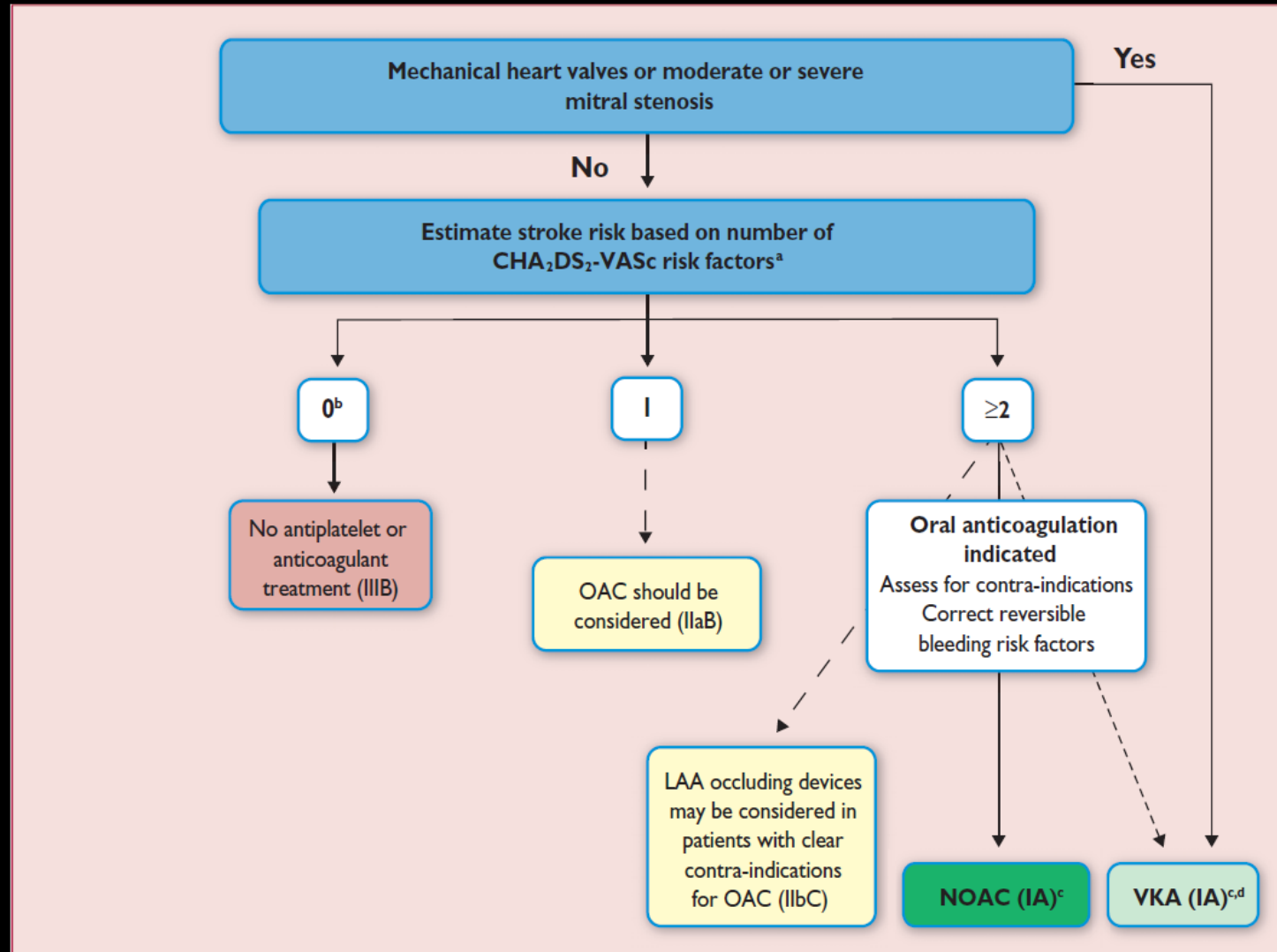
Left atrial appendage occlusion



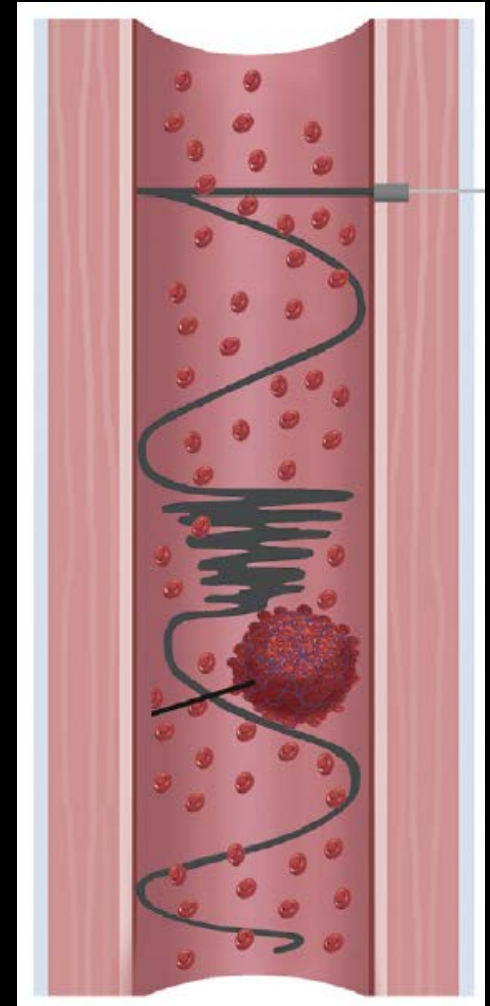
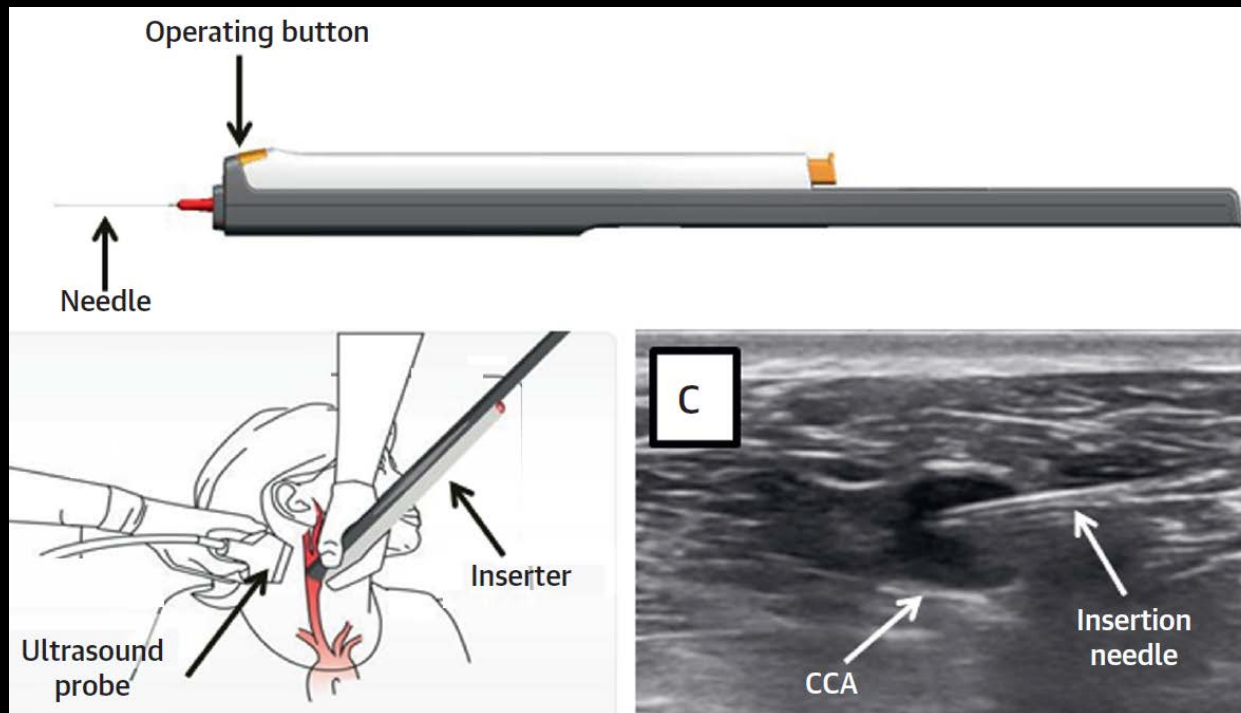
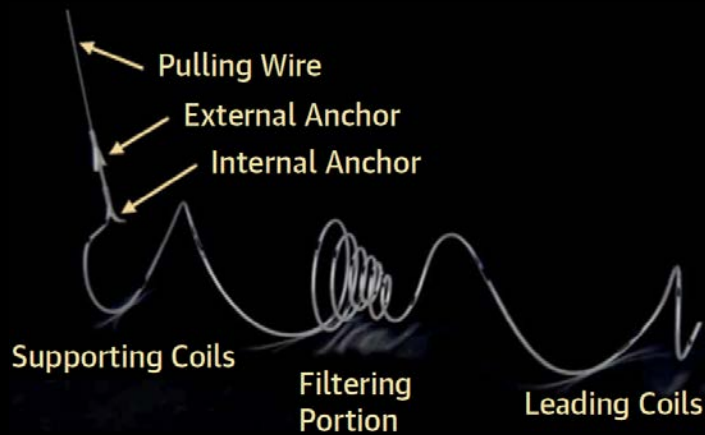
Left atrial appendage occlusion vs. OAC



Left atrial appendage occlusion – ESC Guidelines 2016



Carotid filter for stroke prevention



Agenda

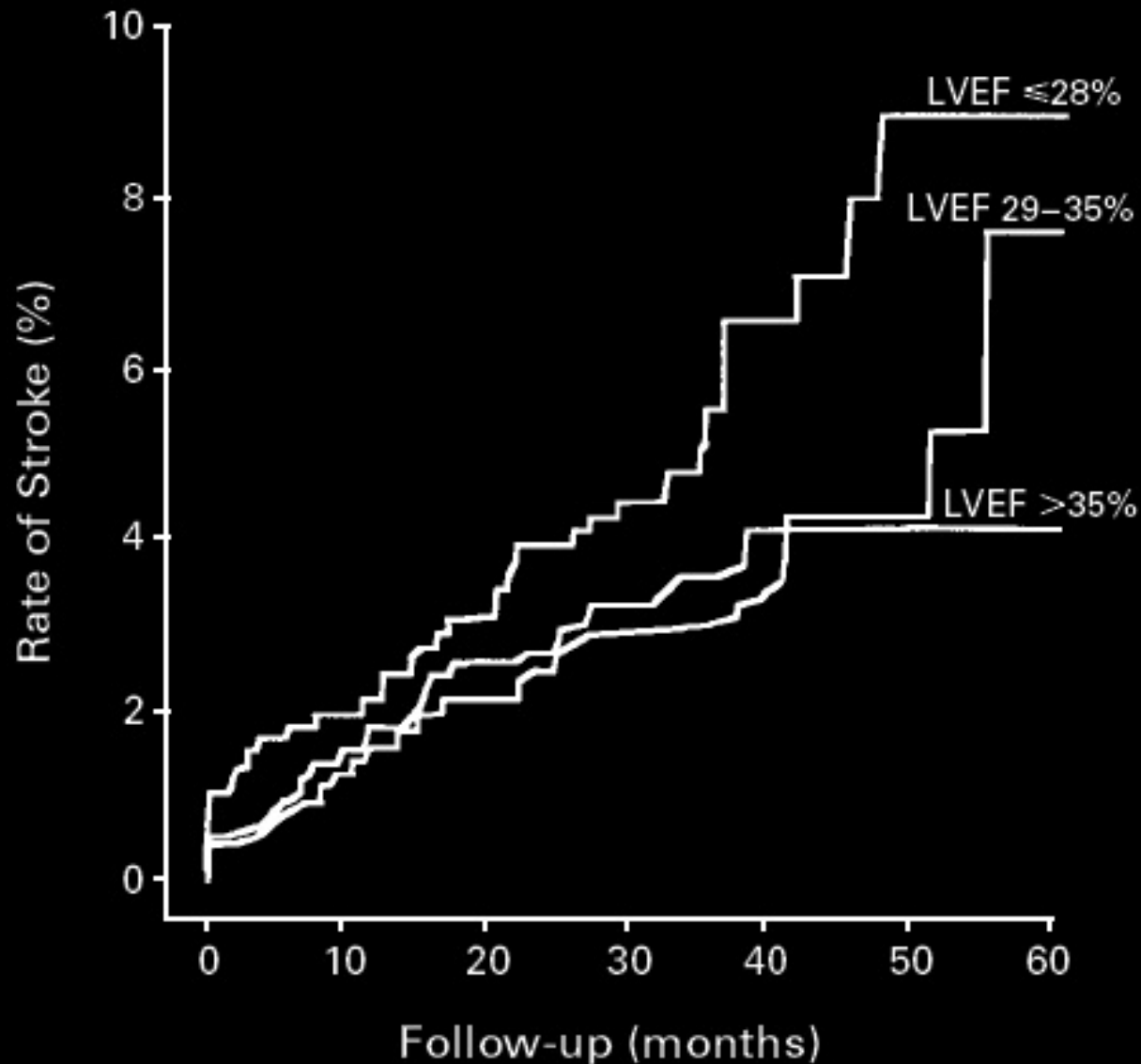
Atrial fibrillation

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- NOAC or VKA?
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Heart failure with sinus rhythm

- Is there a role for OAC?

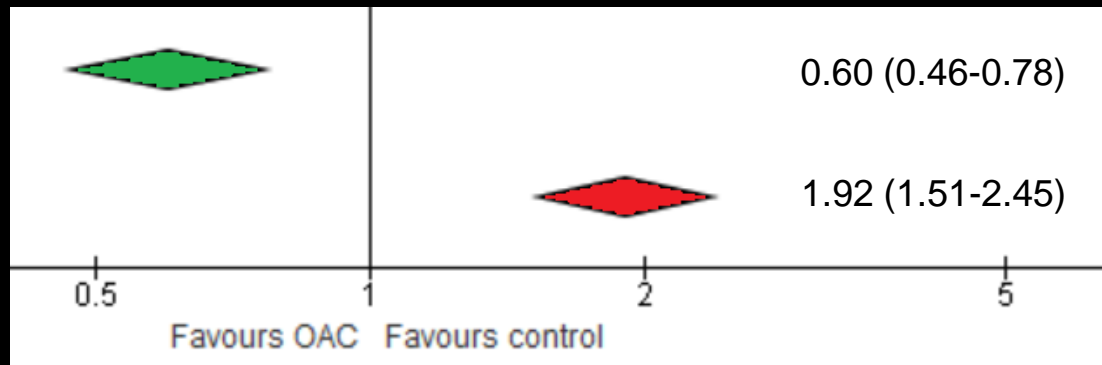
Heart failure with sinus rhythm: a prothrombotic condition



Heart failure with sinus rhythm: is there a role for OAC?

Green: stroke reduction

Red: hemorrhage risk



Review

Oral anticoagulation versus antiplatelet or placebo for stroke prevention in patients with heart failure and sinus rhythm: Systematic review and meta-analysis of randomized controlled trials

George Ntaios¹, Konstantinos Vemmos¹ and Gregory YH Lip^{2,3,4}

Abstract

Background: Previous meta-analyses of randomized controlled trials of oral anticoagulation in patients with heart failure and sinus rhythm reported reduced stroke risk and increased bleeding risk compared to antiplatelets or placebo. However, the effect estimates may be subject to imprecision, as all included trials were prematurely terminated; stroke was not the primary outcome and overall results were primarily driven by a single trial. Recently, new trial data became available.

Aim: To provide more accurate estimates of the effect of oral anticoagulation on stroke risk in heart failure patients with sinus rhythm by systematic review and meta-analysis of available randomized controlled trials including recently published evidence.

Methods: We searched PubMed and Scopus for full-text articles of randomized controlled trials of oral anticoagulation versus antiplatelet or placebo in heart failure patients with sinus rhythm published between inception and 28 August 2018. The outcomes assessed were any stroke, major bleeding, and death.

Results: In five trials (9490 patients; 21,067 patient-years), oral anticoagulation-treated patients had lower stroke risk (odds ratio (OR) 0.60, 95%CI: 0.46-0.78, absolute-risk-reduction: 1.3%, number-needed-to-treat: 77), higher major bleeding risk (OR: 1.92, 95%CI: 1.51-2.45, absolute-risk-increase: 2.0%, number-needed-to-harm: 50), and no significant difference in death rates (OR: 0.90, 95%CI: 0.73-1.11) compared to antiplatelets or placebo.

Conclusions: In the largest meta-analysis to date, oral anticoagulation is associated with a considerable reduction of stroke risk, which is offset by a significant increase in major bleeding risk. For every 1000 patients treated with oral anticoagulation rather than antiplatelets or no antithrombotic treatment for 2.21 years, 13 strokes are prevented but 20 additional major hemorrhages occur, without significant difference in death rates.

Keywords

Oral anticoagulation, heart failure, sinus rhythm, stroke prevention, bleeding

Received: 21 December 2018; accepted: 6 June 2019

Introduction

The well-established association between heart failure (HF) and ischemic stroke is mainly mediated by thrombogenesis which is pathophysiologically explained by Virchow's triad.¹ The low cardiac output, the dilated chambers, and the poor contractility result in "abnormal flow," which is coupled with structural heart abnormalities "vein wall abnormalities" and thirdly, "abnormalities of blood flow," i.e. hemostasis and platelets and

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⁴Aalborg Thrombosis Research Unit, Department of Clinical Medicine, Aalborg University, Aalborg, Denmark

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Ntaios, et al. Int J Stroke 2019

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Heart failure with sinus rhythm

- Is there a role for OAC?

Atherosclerotic stroke

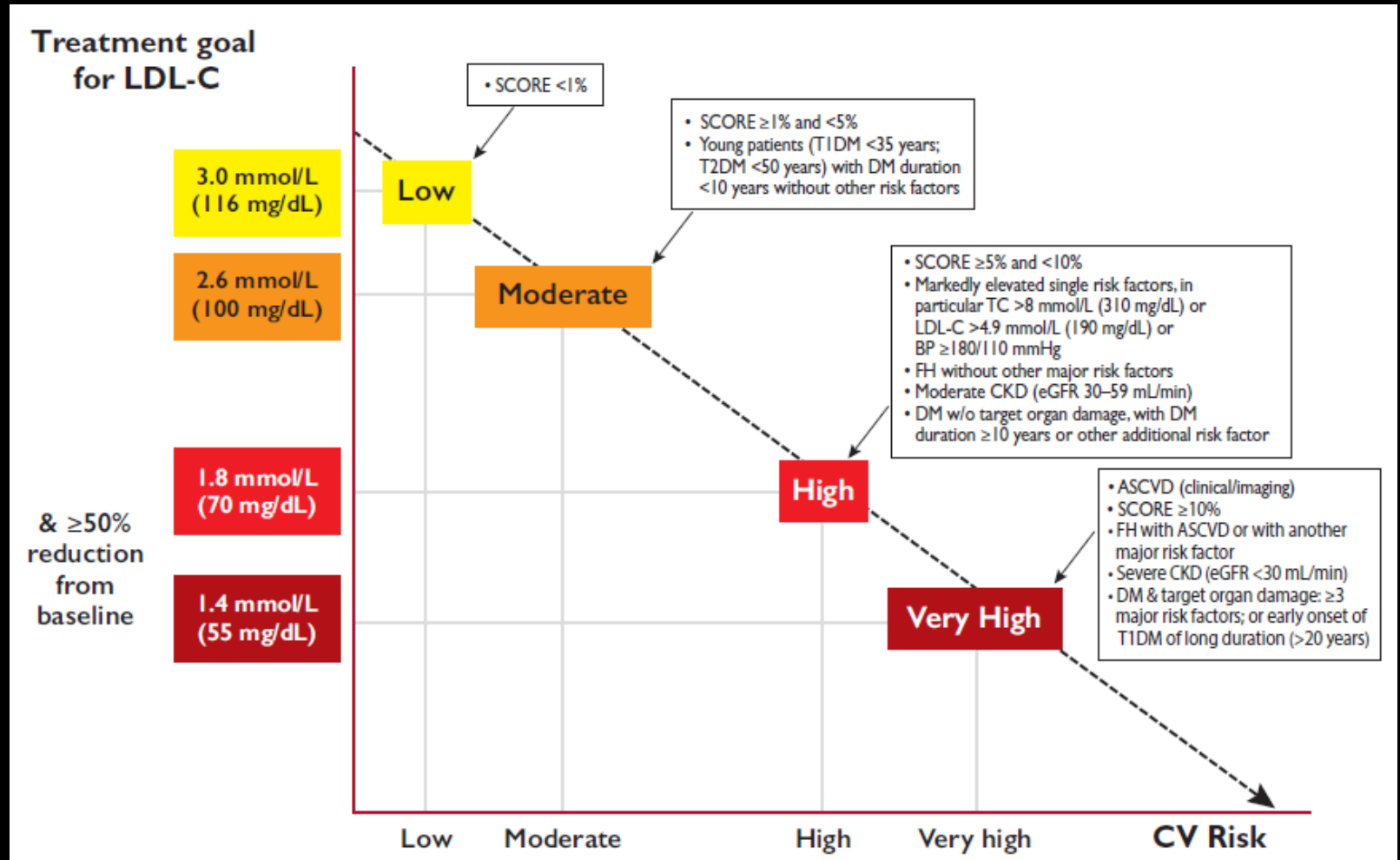
- low-dose rivaroxaban & aspirin
- LDL targets

Atherosclerotic strokes: COMPASS

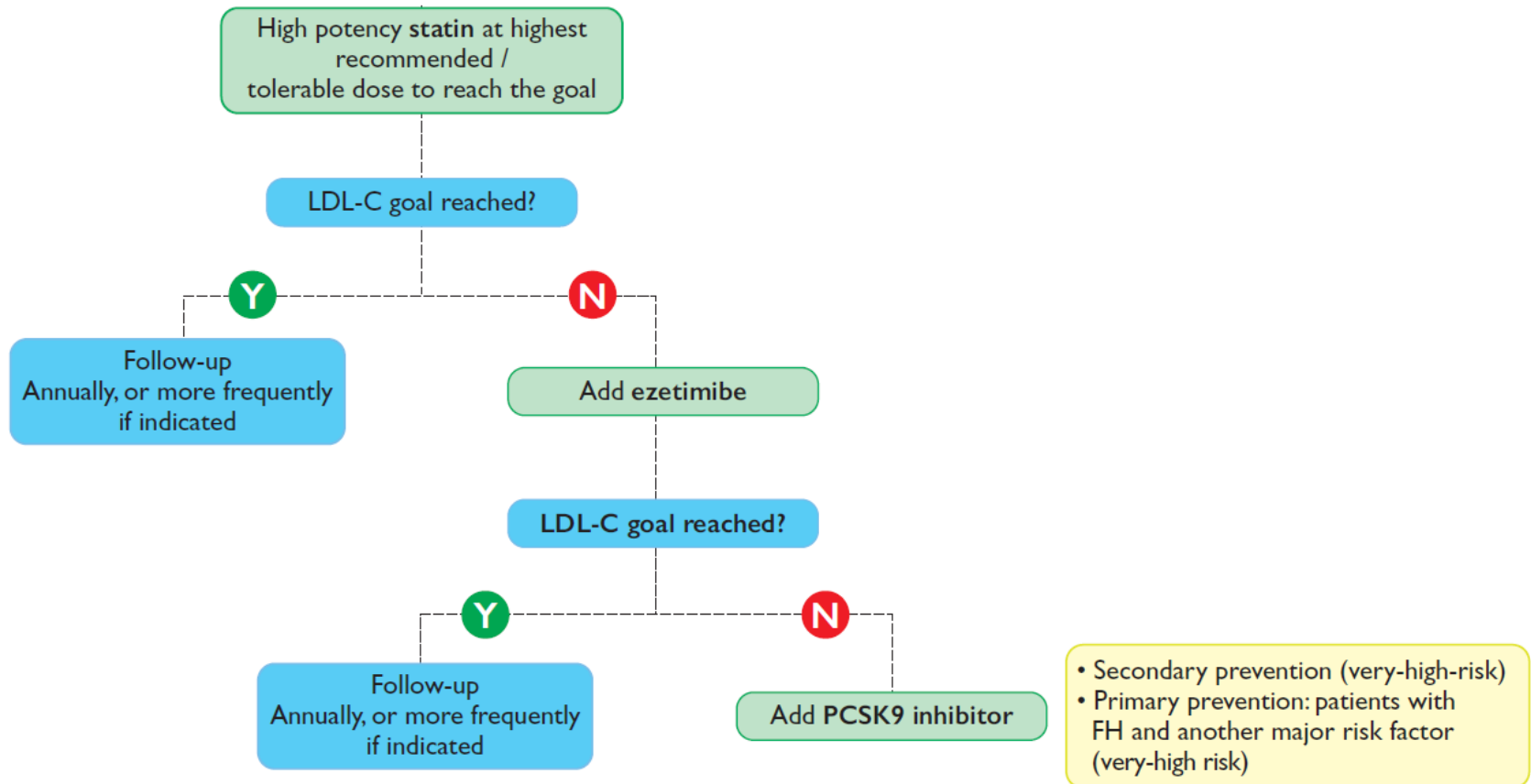
	Hazard Ratio (95% CI)	P Value
Ischemic or uncertain type	0.51 (0.38–0.68)	<0.001
Major bleeding	1.70 (1.40–2.05)	<0.001
Net-clinical-benefit outcome: CV death, stroke, myocardial infarction, fatal bleeding, or symptomatic bleeding into critical organ	0.80 (0.70–0.91)	<0.001



Atherosclerotic strokes: LDL targets



Algorithm for LDL management



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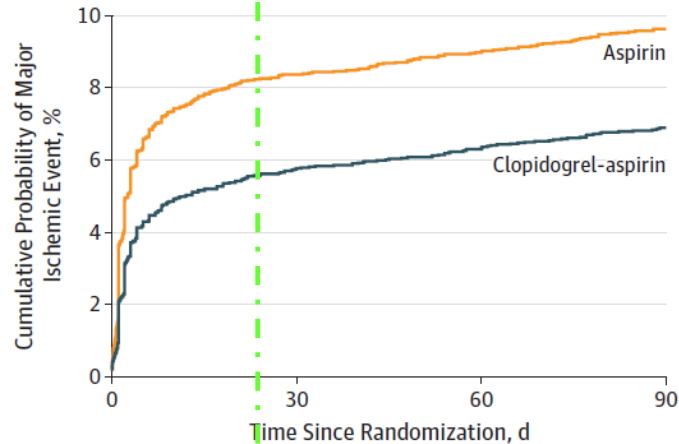
- low-dose rivaroxaban & aspirin
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Minor strokes

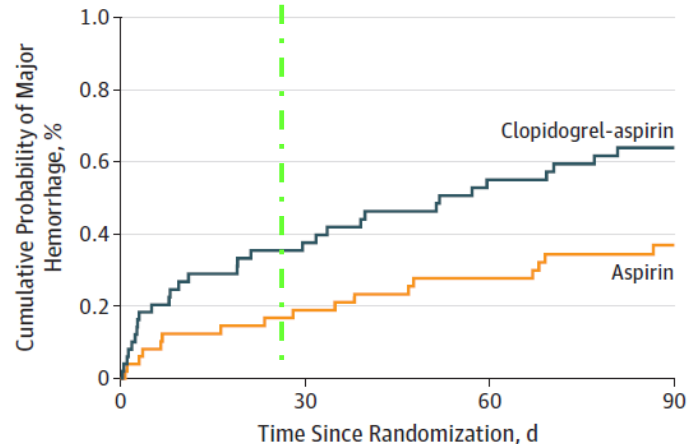
- Dual antiplatelet treatment: for how long?

Dual antiplatelet in the early phase of minor stroke

Major ischemic event



Major hemorrhage



JAMA Neurology | Original Investigation Outcomes Associated With Clopidogrel-Aspirin Use in Minor Stroke or Transient Ischemic Attack: A Pooled Analysis of Clopidogrel in High-Risk Patients With Acute Non-Disabling Cerebrovascular Events (CHANCE) and Platelet-Oriented Inhibition in New TIA and Minor Ischemic Stroke (POINT) Trials

Yuesong Pan, PhD, Jordan J. Elm, PhD, Hao Li, PhD, J. Donald Easton, MD, Yong Wang, MD, PhD, Mary Farrant, RN, MBA, Xia Meng, MD, PhD, Anthony S. Kim, MD, Xingquan Zhao, MD, PhD, William J. Meurer, MD, MS, Leping Liu, MD, PhD, Dennis Dietrich, MD, Yongjun Wang, MD, S. Claiborne Johnston, MD, PhD

IMPORTANCE Dual antiplatelet therapy with clopidogrel and aspirin is effective for secondary prevention after minor ischemic stroke or transient ischemic attack (TIA). Uncertainties remained about the optimal duration of dual antiplatelet therapy for minor stroke or TIA.

OBJECTIVE To obtain precise estimates of efficacy and risk of dual antiplatelet therapy after minor ischemic stroke or TIA.

DESIGN, SETTING, AND PARTICIPANTS This analysis pooled individual patient-level data from 2 large-scale randomized clinical trials that evaluated clopidogrel-aspirin as a treatment to prevent stroke after a minor stroke or high-risk TIA. The Clopidogrel in High-Risk Patients With Acute Non-Disabling Cerebrovascular Events (CHANCE) trial enrolled patients at 114 sites in China from October 1, 2009, to July 30, 2012. The Platelet-Oriented Inhibition in New TIA and Minor Ischemic Stroke (POINT) trial enrolled patients at 269 international sites from May 28, 2010, to December 19, 2017. Both were followed up for 90 days. Data analysis occurred from November 2018 to May 2019.

INTERVENTIONS In the 2 trials, patients with minor stroke or high-risk TIA were randomized to clopidogrel-aspirin or aspirin alone within 12 hours (POINT) or 24 hours (CHANCE) of symptom onset.

MAIN RESULTS AND MEASURES The primary efficacy outcome was a major ischemic event (ischemic stroke, myocardial infarction, or death from ischemic vascular causes). The primary safety outcome was major hemorrhage.

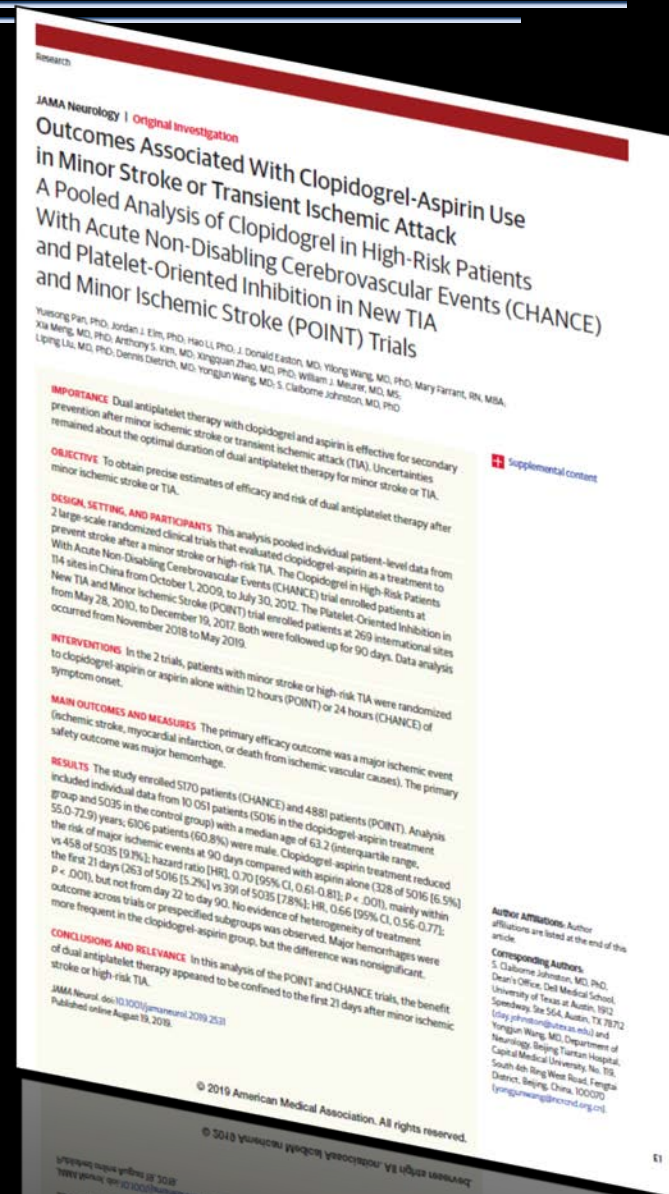
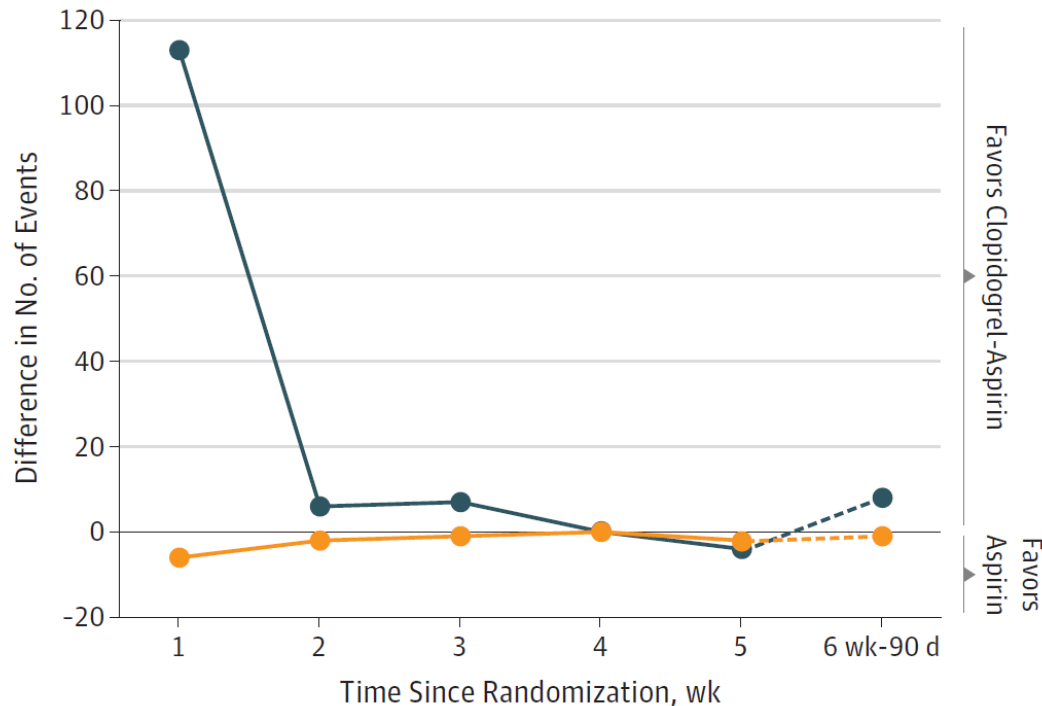
RESULTS The study enrolled 5170 patients (CHANCE) and 4881 patients (POINT). Analysis included individual data from 10 051 patients (5016 in the clopidogrel-aspirin treatment group and 5035 in the control group) with a median age of 63.2 (interquartile range, 55.0-72.9) years; 6105 patients (60.8%) were male. Clopidogrel-aspirin treatment reduced the risk of major ischemic events at 90 days compared with aspirin alone (328 of 5016 [6.5%] vs 458 of 5035 [9.1%]; hazard ratio [HR], 0.70 [95% CI, 0.61-0.81]; $P < .001$, mainly within the first 21 days (263 of 5016 [5.2%] vs 391 of 5035 [7.8%]; HR, 0.56 [95% CI, 0.36-0.77]; $P < .001$, but not from day 22 to day 90. No evidence of heterogeneity of treatment outcome across trials or prespecified subgroups was observed. Major hemorrhages were more frequent in the clopidogrel-aspirin group, but the difference was nonsignificant.

CONCLUSIONS AND RELEVANCE In this analysis of the POINT and CHANCE trials, the benefit of dual antiplatelet therapy appeared to be confined to the first 21 days after minor ischemic stroke or high-risk TIA.

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Dual antiplatelet in the early phase of minor stroke



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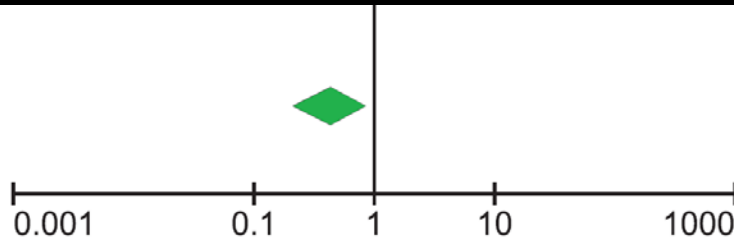
PFO

- Closure or medical treatment?
- OAC or aspirin in non-closed PFOs?

PFO closure

Green: stroke reduction all patients

0.43 [0.21, 0.90]



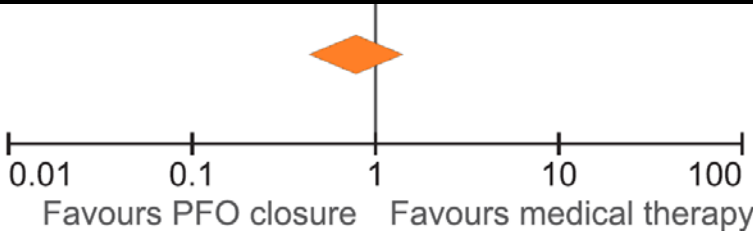
Red: stroke reduction high risk PFOs

0.39 [0.16, 0.96]



Orange: stroke reduction low risk PFOs

0.79 [0.43, 1.43]



Closure of Patent Foramen Ovale Versus Medical Therapy in Patients With Cryptogenic Stroke or Updated Systematic Review and Meta-Analysis

George Ntaios, MD; Vasileios Papavasileiou, MD; Dimitrios Sagris, MD; Konstantinos Makris, MD; Konstantinos Vemmos, MD; Thorsten Steiner, MD; Patrik Michel, MD

Background and Purpose—Previous systematic reviews and meta-analyses compared the efficacy and safety of patent foramen ovale (PFO) closure versus medical treatment in patients with cryptogenic stroke or transient ischemic attack (TIA). Recently, new evidence from randomized trials became available.

Methods—We searched PubMed until September 24, 2017, for trials comparing PFO closure with medical treatment in patients with cryptogenic stroke/TIA using the items: stroke or cerebrovascular accident or TIA and patent foramen ovale or paradoxical embolism and trial or study.

Results—Among 851 identified articles, 5 were eligible. In 3627 patients with 3.7-year mean follow-up, there was significant difference in ischemic stroke recurrence (0.53 versus 1.1 per 100 patient-years, respectively; odds ratio [OR], 0.43; 95% confidence intervals [CI], 0.21–0.90; relative risk reduction, 50.5%; absolute risk reduction, 2.11%; and number needed to treat to prevent 1 event, 46.5 for 3.7 years). There was no significant difference in TIAs (0.78 versus 0.98 per 100 patient-years, respectively; OR, 0.80; 95% CI, 0.53–1.19) and all-cause mortality (0.18 versus 0.23 per 100 patient-years, respectively; OR, 0.73; 95% CI, 0.34–1.56). New-onset atrial fibrillation occurred more frequently in the PFO closure arm (1.3 versus 0.25 per 100 patient-years, respectively; OR, 5.15; 95% CI, 2.18–12.15) and resolved in 72% of cases within 45 days, whereas rates of myocardial infarction (0.12 versus 0.09 per 100 patient-years, respectively; OR, 1.22; 95% CI, 0.25–5.91) and any serious adverse events (7.3 versus 7.3 per 100 patient-years, respectively; OR, 1.07; 95% CI, 0.92–1.25) were similar.

Conclusions—In patients with cryptogenic stroke/TIA and PFO who have their PFO closed, ischemic stroke recurrence is less frequent compared with patients receiving medical treatment. Atrial fibrillation is more frequent but mostly transient. There is no difference in TIA, all-cause mortality, or myocardial infarction. (Stroke. 2018;49:412–418. DOI: 10.1161/STROKEAHA.117.020030.)

Key Words: embolism, paradoxical ■ foramen ovale, patent ■ ischemic attack, transient ■ meta-analysis ■ review

Despite thorough investigation, cause remains undetermined in a significant proportion of patients with undetermined stroke and terms like cryptogenic or embolic stroke of undetermined source are commonly used to describe these cases.^{1,2} Patent foramen ovale (PFO), a frequent finding in the general population,^{3,4} is considered a possible underlying mechanism in a proportion of embolic stroke of undetermined source patients,⁵ and its closure has been suggested as an efficacious intervention on top of medical treatment. Until recently, only 3 randomized controlled trials had tested this hypothesis yielding inconclusive results.^{1–3}

In a previous systematic review and meta-analysis, we did not find evidence to support PFO closure in patients with cryptogenic stroke or transient ischemic attack (TIA) with unselected devices.⁶ However, in subgroup analysis, selected closure devices seemed to be superior to medical treatment, and an individual patient data meta-analysis revealed a reduced stroke risk with PFO closure.⁷

Recently, new evidence from randomized trials became available.^{8,9} The aim of this work is to update the results of the previous systematic reviews and meta-analyses with the newly available evidence.

Methods

The data that support the findings of this study are available from the corresponding author on reasonable request.

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From the Department of Medicine, University of Thessaly, Larissa, Greece (G.N., D.S., K.M.); Stroke Service, Department of Neurosciences, Laiko Teaching Hospitals NHS Trust and Medical School, University of London, United Kingdom (V.P.); Hellenic Cardiovascular Research Society, Athens, Greece (K.V.); Department of Neurology, Klinikum Frankfurt Höchst, Frankfurt, Germany (T.S.); Department of Neurology, Heidelberg University, Germany (T.S.); and Stroke Center, Lausanne University Hospital, Switzerland (P.M.).

The online-only Data Supplement is available with this article at <http://stroke.ahajournals.org/lookup/suppl/doi:10.1161/STROKEAHA.117.020030/-/DC1>.

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Stroke is available at <http://stroke.ahajournals.org>.

DOI: 10.1161/STROKEAHA.117.020030

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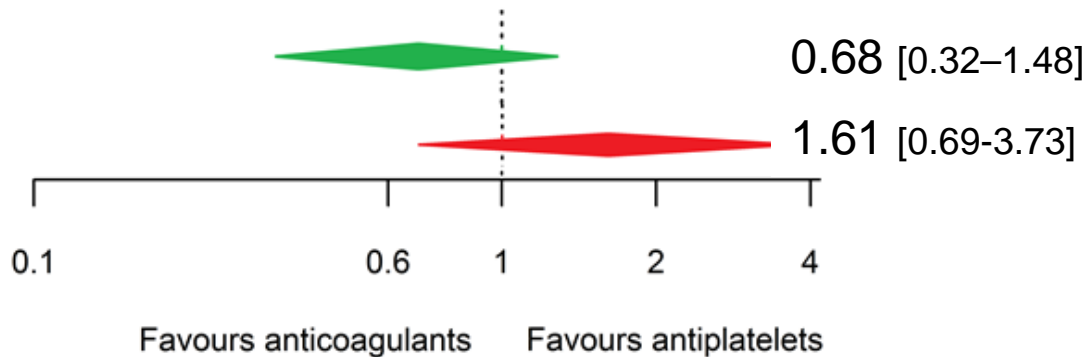
DOI: 10.1161/STROKEAHA.117.020030

Ntaios, Michel et al. Stroke 2018

OAC vs aspirin for non-closed PFO

Green: stroke reduction

Red: hemorrhage risk



Original Contribution Antithrombotic Treatment in Cryptogenic Stroke Patients With Patent Foramen Ovale Systematic Review and Meta-Analysis

Dimitrios Sagris, MD; Georgios Georgiopoulos, MD; Kalliopi Perlepe, MD;
Konstantinos Pateras, MSc; Eleni Korompoki, MD; Konstantinos Makaritis, MD;
Konstantinos Vemmos, MD; Haralampos Milonidis, MD; George Ntaios, MD

Background and Purpose—It is unclear whether treatment with anticoagulants or antiplatelets is the optimal strategy in patients with stroke or transient ischemic attack of undetermined cause and patent foramen ovale that is not percutaneously closed. We aimed to perform a systematic review and meta-analysis of randomized controlled trials to compare anticoagulant or antiplatelet treatment in this population.

Methods—We searched PubMed until July 16, 2019 for trials comparing anticoagulants and antiplatelet treatment in patients with stroke/transient ischemic attack and medically treated patent foramen ovale using the terms: “cryptogenic or embolic stroke of undetermined source” and “stroke or cerebrovascular accident or transient ischemic attack” and “patent foramen ovale or patent foramen ovale” and “stroke or paradoxical embolism” and “trial or study” and “antithrombotic or anticoagulant or antiplatelet.” The outcomes assessed were stroke recurrence, major bleeding, and the composite end point of stroke recurrence or major bleeding. We used 3 random-effects models: (1) a reference model based on the inverse variance method with the Sidik and Jonkman heterogeneity estimator; (2) a strict model, implementing the Hartung and Knapp method; and (3) a commonly used Bayesian model with a prior that assumes moderate to large between-study variance.

Results—Among 112 articles identified in the literature search, 5 randomized controlled trials were included in the meta-analysis (1720 patients, mean follow-up 2.3±0.5 years). Stroke recurrence occurred at a rate of 1.73 per 100 patient-years in anticoagulant-assigned patients and 2.39 in antiplatelet-assigned patients (hazard ratio, 0.68; 95% CI, 0.32–1.48 for the Sidik and Jonkman estimator). Major bleeding occurred at a rate of 1.16 per 100 patient-years in anticoagulant-assigned patients and 0.68 in antiplatelet-assigned patients (hazard ratio, 1.61; 95% CI, 0.72–3.59 for the Sidik and Jonkman estimator). The composite outcome occurred in 52 anticoagulant-assigned and 54 antiplatelet-assigned patients (odds ratio, 1.05; 95% CI, 0.65–1.70 for the Sidik and Jonkman estimator).

Conclusions—We cannot exclude a large reduction of stroke recurrence in anticoagulant-assigned patients compared with antiplatelet-assigned, without significant differences in major bleeding. An adequately powered randomized controlled trial of a non-vitamin K antagonist versus aspirin is warranted. (Stroke. 2019;50:00-00. DOI: 10.1161/STROKEAHA.119.026512.)

Key Words: aspirin ■ anticoagulant ■ embolism ■ meta-analysis ■ paradoxical embolism ■ patent foramen ovale

[n=17% of all patients with ischemic stroke, the underlying cause remains undetermined, despite proper diagnostic investigation.^{1,2} Patent foramen ovale (PFO) is a potential cause of stroke, and randomized controlled trials have confirmed the efficacy of its closure in patients with stroke of undetermined cause and age <60 years.^{3,4}

It is unclear whether treatment with anticoagulants or antiplatelets is the optimal preventive strategy in patients with stroke of undetermined cause and PFO who are not treated with percutaneous PFO closure. A previous meta-analysis from observational studies and randomized trials of patients with cryptogenic stroke and PFO, assigned to vitamin K antagonists or antiplatelet, did not show significant difference between the 2 treatment strategies.⁵ Recently, more evidence from randomized trials which studied non-vitamin K oral anticoagulants versus aspirin became available.^{3,6,7}

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The online-only Data Supplement is available with this article at <https://www.ahajournals.org/doi/suppl/10.1161/STROKEAHA.119.026512>.

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Stroke is available at <https://www.ahajournals.org/journal/str>

Agenda

Atrial fibrillation

- Aspirin for AF patients?
- NOAC or VKA?
- SAME-TTR to select oral anticoagulant?
- When to restart OAC after ischemic stroke?
- Is there a role for left atrial appendage occlusion?
- Carotid filter for stroke prevention?

Heart failure with sinus rhythm

- Is there a role for OAC?

Atherosclerotic stroke

- low-dose rivaroxaban & aspirin
- LDL targets

Minor strokes

- Dual antiplatelet treatment: for how long?

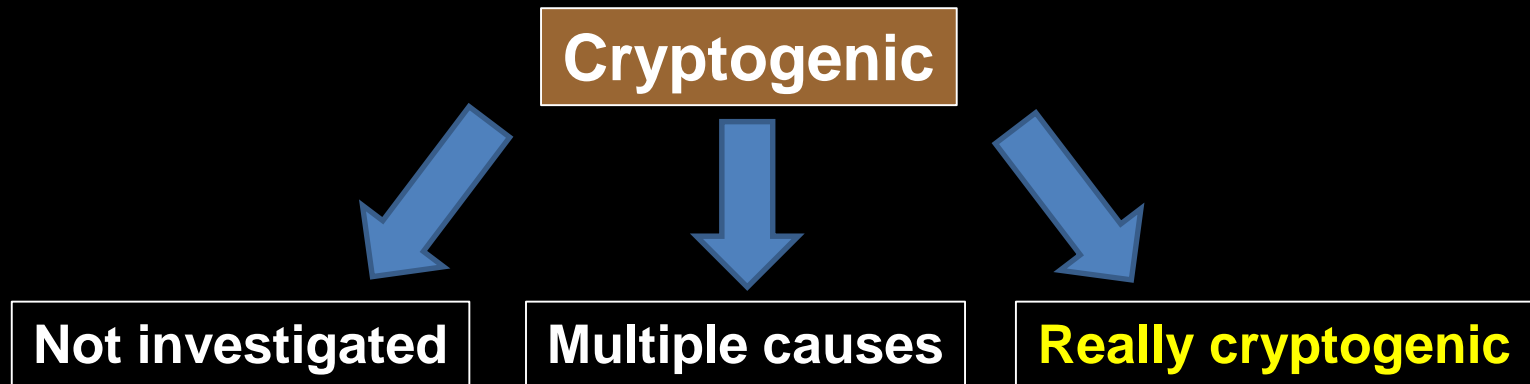
PFO

- Closure or medical treatment?
- OAC or aspirin in non-closed PFOs?

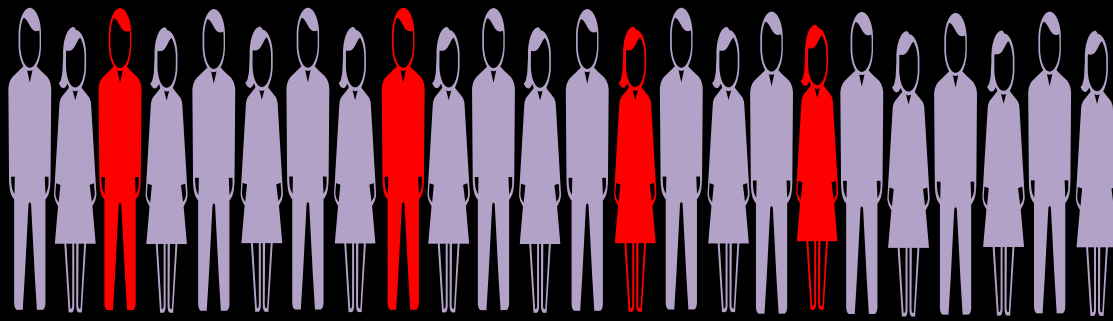
ESUS

- ESUS vs. cryptogenic
- NAVIGATE ESUS and RE-SPECT ESUS results
- Potential explanation and implications for future research

ESUS vs. cryptogenic



ESUS are frequent



Original Contribution

Embolie Stroke of Undetermined Source

A Systematic Review and Clinical Update

Robert G. Hart, MD; Luciana Catanesi, MD; Kanjana S. Perera, MBBS; George Ntaios, MD, PhD; Stuart J. Connolly, MD

Background and Purpose—Embolie stroke of undetermined source (ESUS) designates patients with nonlacunar cryptogenic ischemic strokes in whom embolism is the likely stroke mechanism. It has been hypothesized that anticoagulation is more efficacious than antiplatelet therapy for secondary stroke prevention in ESUS patients. We review available information about ESUS.

Methods—Systematic literature review to assess the frequency of ESUS, patient features, and prognosis using PubMed from 2014 to present, unrestricted by language.

Results—On the basis of 9 studies, the reported frequency of ESUS ranged from 9% to 25% of ischemic strokes, averaging 17%. From 8 studies involving 2045 ESUS patients, the mean age was 65 years and 42% were women; the mean NIH stroke score was 5 at stroke onset (4 studies, 1772 ESUS patients). Most (86%) ESUS patients were treated with antiplatelet therapy during follow-up, with the annualized recurrent stroke rate averaging 4.5% per year during a mean follow-up of 2.7 years (5 studies, 1605 ESUS patients).

Conclusions—ESUS comprises about 1 ischemic stroke in 6. Patients with ischemic stroke meeting criteria for ESUS were relatively young compared with other ischemic stroke subtypes and had, on average, minor strokes, consistent with small emboli. Retrospective methods of available studies limit confidence in stroke recurrence rates but support a substantial (>4% per year) rate of stroke recurrence during (mostly) antiplatelet therapy. There is an important need to define better antithrombotic prophylaxis for this frequently occurring subtype of ischemic stroke. (Stroke. 2017;48:00-00. DOI: 10.1161/STROKEAHA.116.016414.)

Key Words: diagnosis ■ embolism ■ prognosis ■ secondary prevention ■ stroke

In 2014, the clinical construct of “embolic stroke of undetermined source” (ESUS) was introduced to identify patients with nonlacunar cryptogenic ischemic strokes in whom embolism was the likely stroke mechanism.¹ It was hypothesized that anticoagulants might be more efficacious than antiplatelet agents for secondary stroke prevention in ESUS patients. At the time of the original publication, little information was available to estimate the frequency of ESUS, patient features, or prognosis. Interest in ESUS has been fueled, in part, by 3 ongoing randomized trials comparing nonvitamin K antagonist direct-acting oral anticoagulants with aspirin for secondary stroke prevention.²⁻⁴

Here, we report the results of a systematic review of published studies about ESUS and summarize additional recent information relevant to the ESUS construct.

Methods

A PRISMA-guided systematic PubMed search strategy was instituted to identify the studies of interest (the last searched on December 6, 2016; Figure 1). We also performed a hand searching of bibliographies and citations of included studies. For the online search strategy, the terms (embolic stroke of unknown source OR ESUS) were combined with (embolic stroke OR cryptogenic stroke OR embolism), and results were restricted to those published since 2014. Two coauthors (R.G.H. and L.C.) independently reviewed articles that emerged from the searches for potential inclusion in review. Studies published in abstract only were not included. Discrepancies between the reviewers were resolved by consensus. Publications in any language were included if reporting new information based on the ESUS criteria proposed by the Cryptogenic Stroke/ESUS International Working Group (Table 1). One study reporting a highly selected ESUS cohort was not included;⁵ nor were 5 published case reports.⁶⁻¹⁰ Investigators of included studies were selectively contacted seeking additional data.¹¹

Because ascertainment bias between different studies could potentially be more misleading than random error related to sample sizes, the pooled results are presented both as weighted (by numbers of patients) and unweighted (averaging values for each study) means.

Results

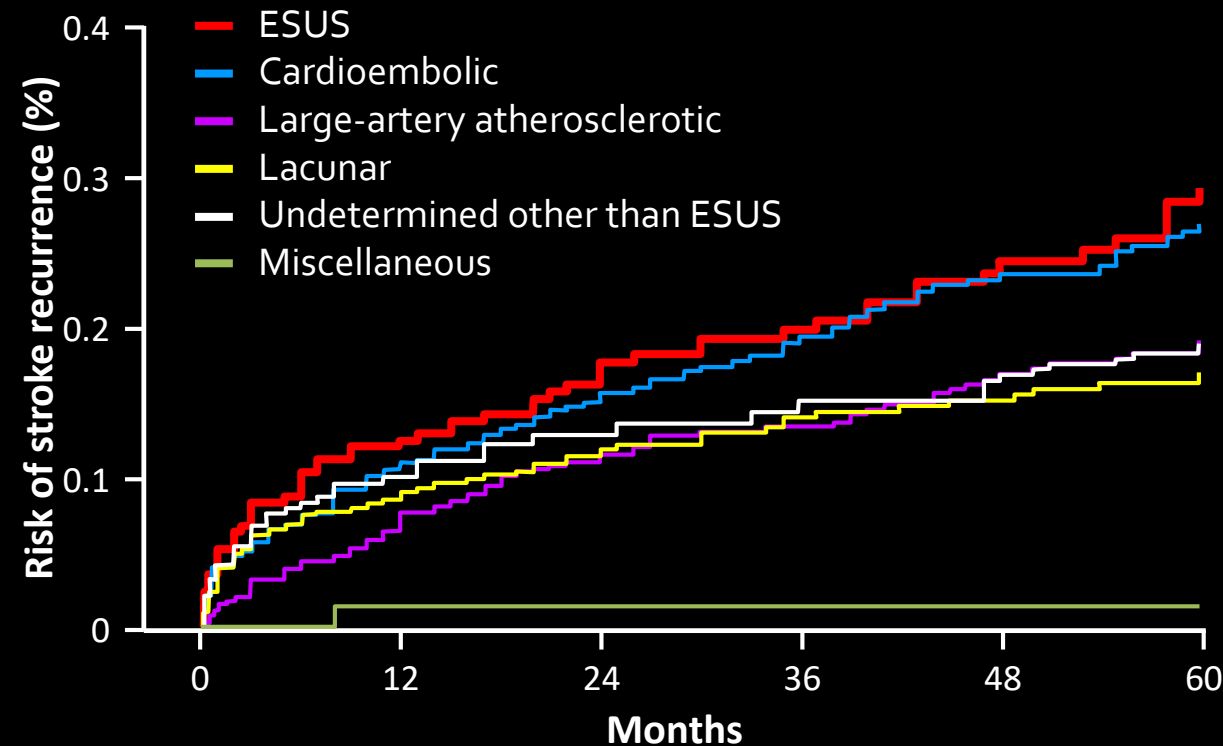
Overall, the quality of the included studies was only fair. Most studies were retrospective analyses of existing databases (extending as far as 1992) and did not report the specific details

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Stroke is available at <http://stroke.ahajournals.org>.

DOI: 10.1161/STROKEAHA.116.016414

Hart, Ntaios, et al. Stroke 2017

ESUS: high recurrence rate



Embolic Strokes of Undetermined Source in the Athens Stroke Registry

George Ntaios, MD; Vasileios Papavasileiou, MD; Haralampos Milonitis, MD; Konstantinos Makaritis, MD; Anastasia Vemmos, MD; Eleni Koroboki, MD; Efsthios Manios, MD; Konstantinos Spengos, MD; Patrik Michel, MD; Konstantinos Vemmos, MD

[illegible]

Recently, we presented a descriptive analysis of an ESUS population originating from the Athens Stroke Registry,¹ classified as ESUS.² These strokes were of mild-moderate severity, and covert atrial fibrillation (AF) was identified as the underlying etiopathogenic mechanism in 40% of ESUS patients.³

In routine clinical practice, and based on randomized studies,¹⁴ the vast majority of ESUS patients are treated with antiplatelets for secondary stroke prevention. However, given that covert AF is the underlying pathogenesis in ~40% of

The online-only Data Supplement is available with this article at <http://stroke.ahajournals.org/lookup/suppl/doi:10.1161/STROKEAHA.115.009334/-DC1>.

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 DOI: 10.1161/STROKEAHA.115.009334

Ntaios, Michel et al. Stroke 2015

ESUS: Potential embolic sources

Covert Atrial Fibrillation

Cancer associated

- Covert non-bacterial thrombotic endocarditis
- Tumour emboli from occult cancer

Arteriogenic emboli

- Aortic arch atherosclerotic plaques
- Cerebral artery non-stenotic plaques with ulceration

Paradoxical embolism

- Patent foramen ovale
- Atrial septal defect
- Pulmonary arteriovenous fistula

Minor-risk potential cardioembolic sources

Mitral or Aortic valve

- Myxomatous valvulopathy with prolapse
- Mitral annular calcification
- Aortic valve stenosis or Calcific aortic valve

Non-AF atrial dysrhythmias and stasis

- Atrial asystole and sick-sinus syndrome
- Atrial high-rate episodes
- Atrial appendage stasis with reduced flow velocities or spontaneous echodensities

Atrial structural abnormalities

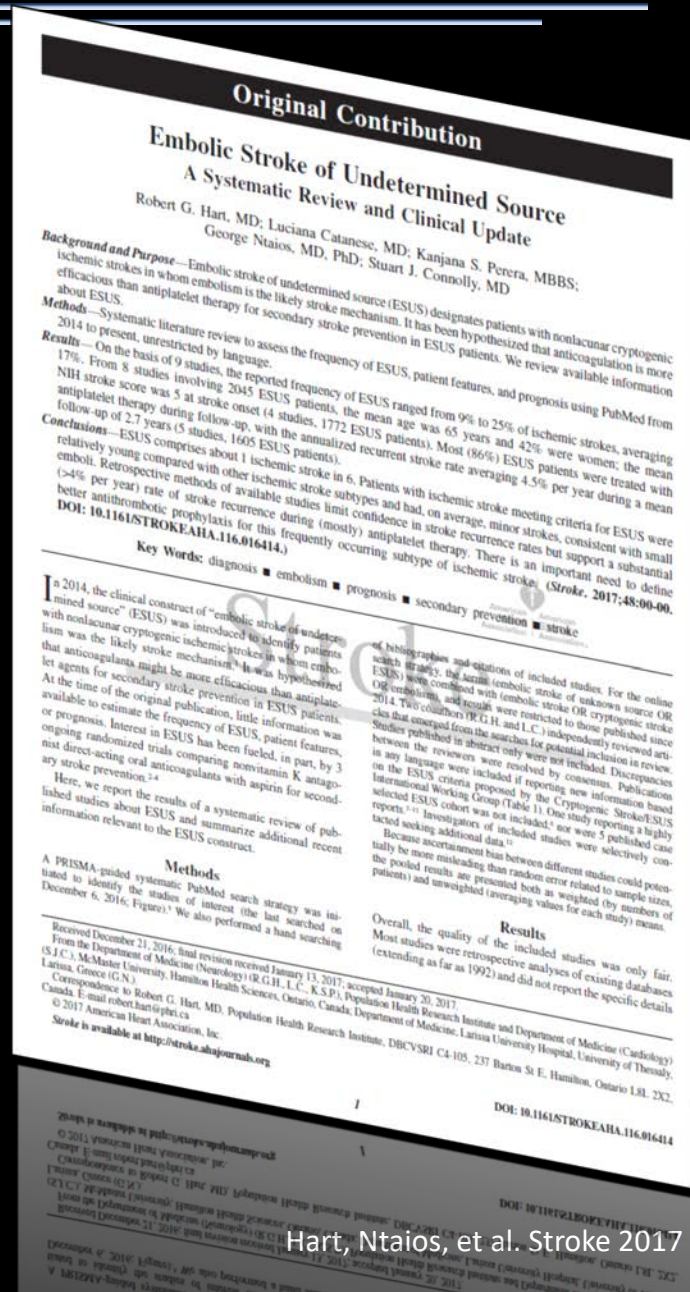
- Atrial septal aneurysm or Chiari network

Left ventricle

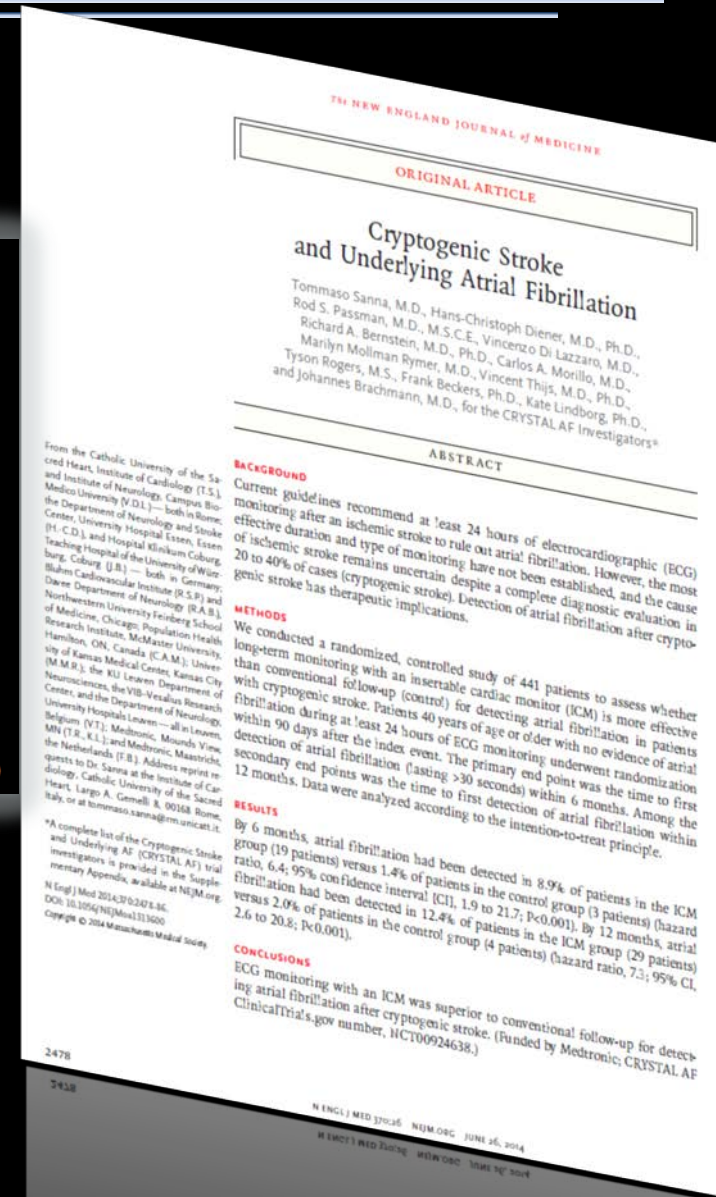
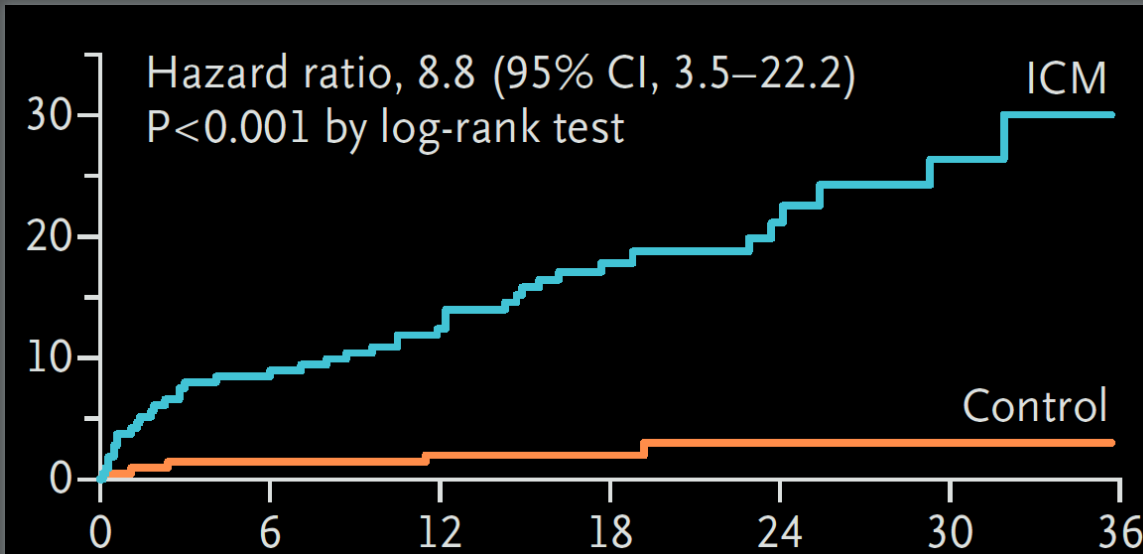
- Moderate systolic or diastolic dysfunction (global or regional)
- Ventricular non-compaction or Endomyocardial fibrosis

ESUS: 90% are treated with antiplatelets

Study	Antithrombotic Therapy	AF During Follow-Up†	Stroke (Est Annualized Rate)†
Ntaios et al ^{13,26‡}	74% APT only, 22% OAC	80 (29%)	6.8%/y
Li et al ¹⁵	NR	NR	≈5%/y
Putala et al ^{16‡}	85% APT, 11% OAC	NR	5.1%/y
Ntaios et al ^{24‡}	87% APT only, 12% OAC	NR	4.8%/y
Masina et al ^{12¶}	99% APT	NR	2.3%/y
Ueno et al ^{22#}	72% APT, 29% OAC	NR	3.9%/y
Arauz et al ^{23††}	91% APT, 5% OAC	NR	2.3%/y
Pooled – unweighted average‡,††	87% APT, 12% OAC	...	4.0%/y
Pooled – weighted average‡,††	86% APT, 13% OAC	...	4.5%/y

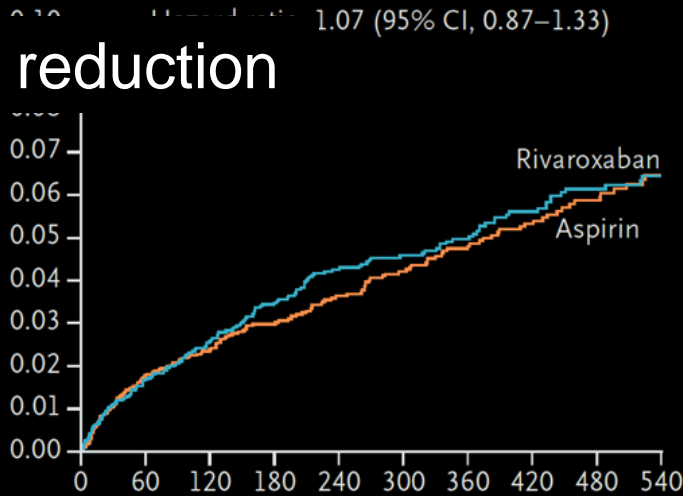


New AF after stroke without cause (CRYSTAL – AF)

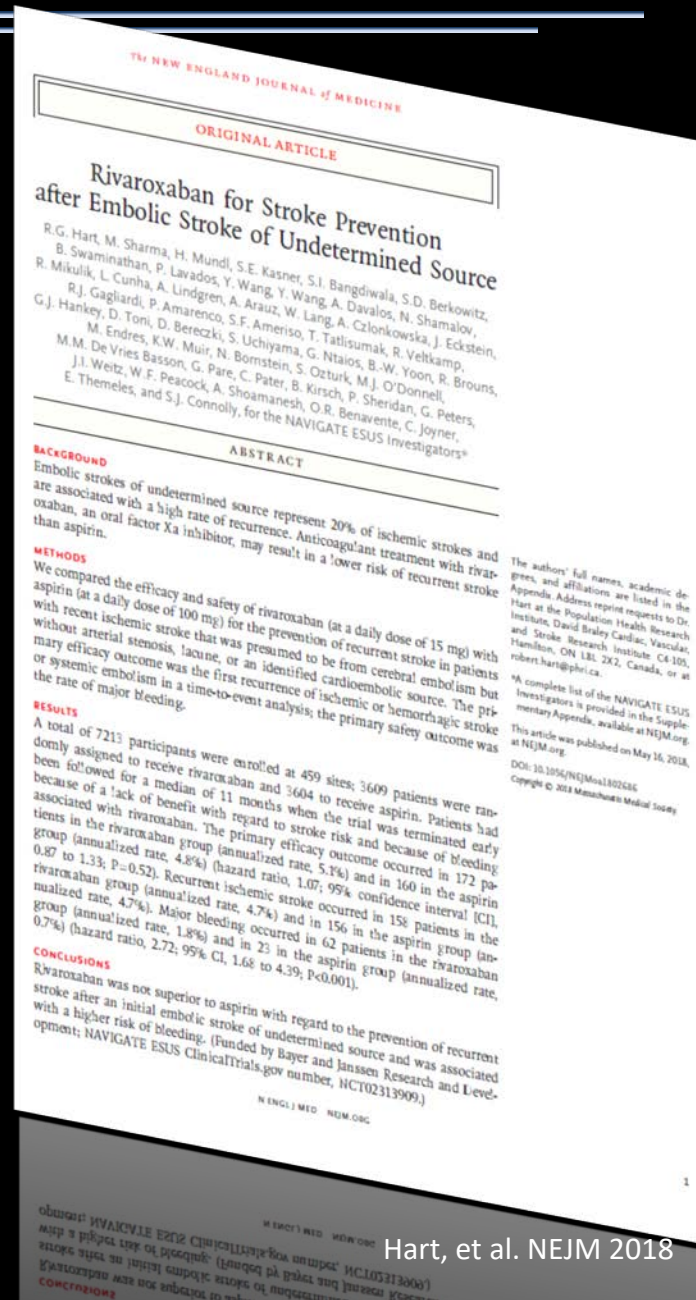
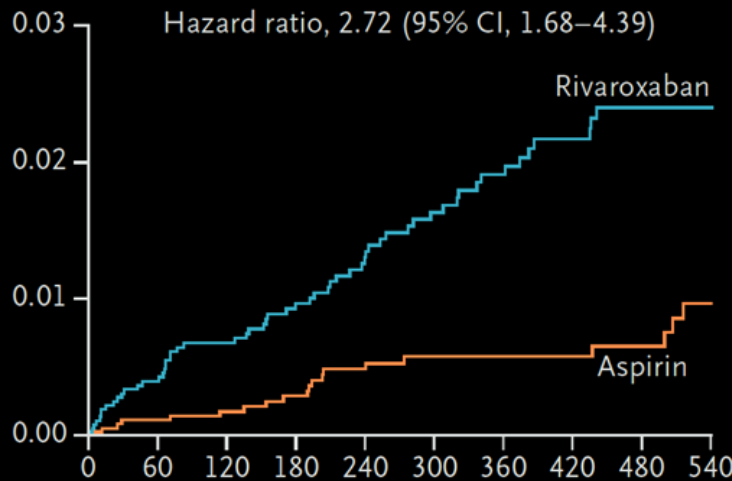


NAVIGATE ESUS

Stroke reduction

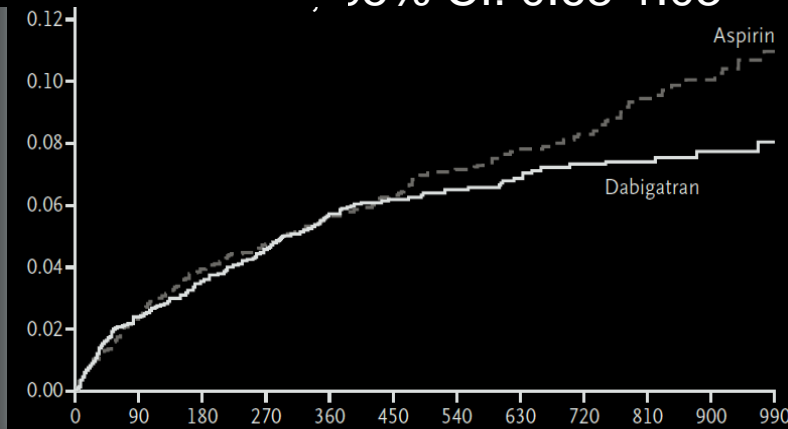


Hemorrhage risk



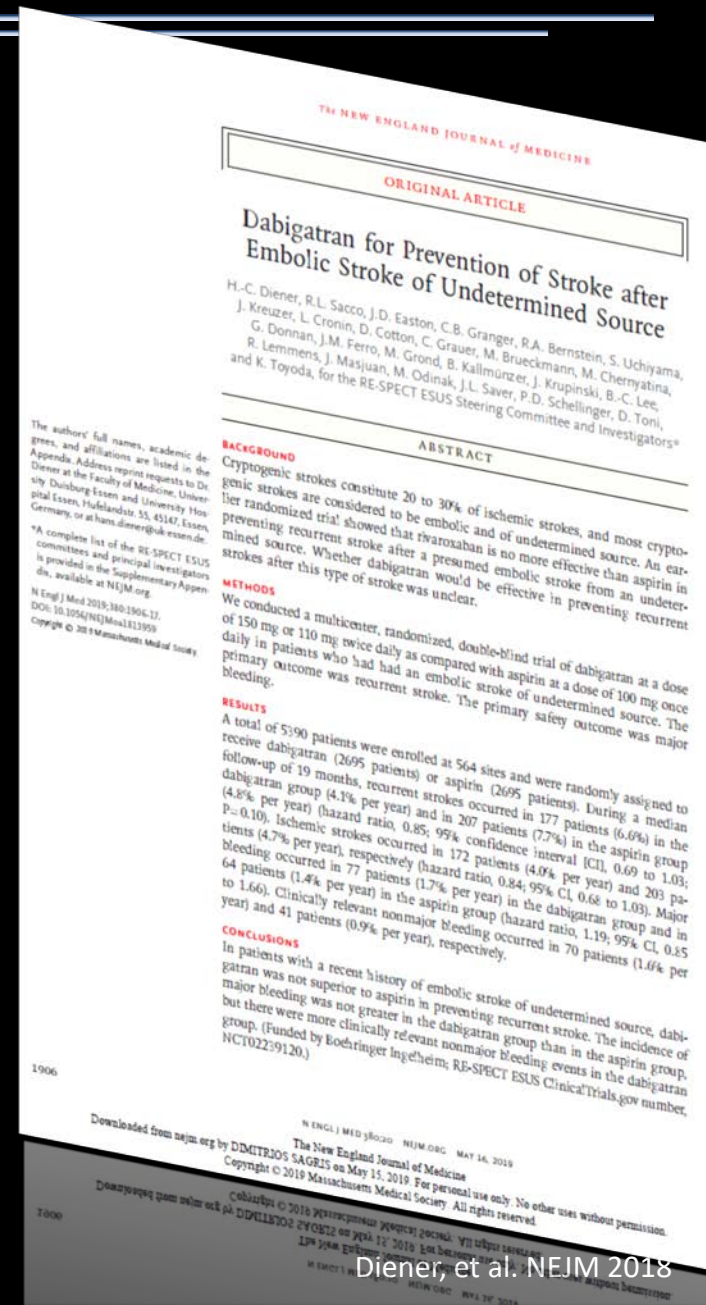
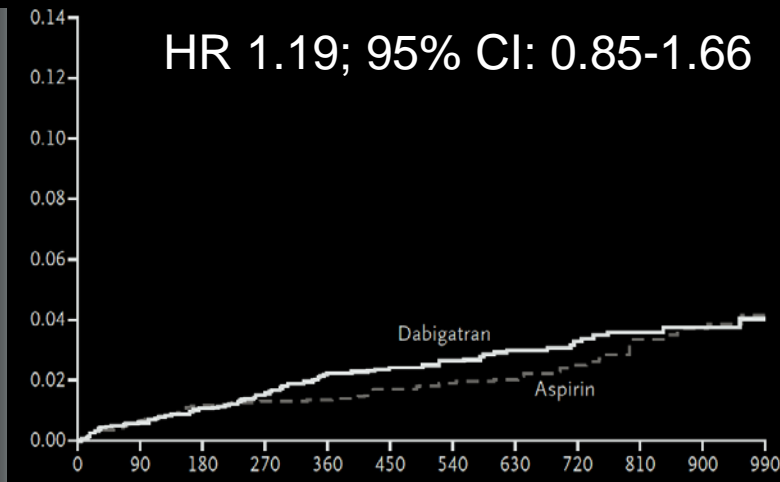
RE-SPECT ESUS

Stroke reduction, 95% CI: 0.68-1.03

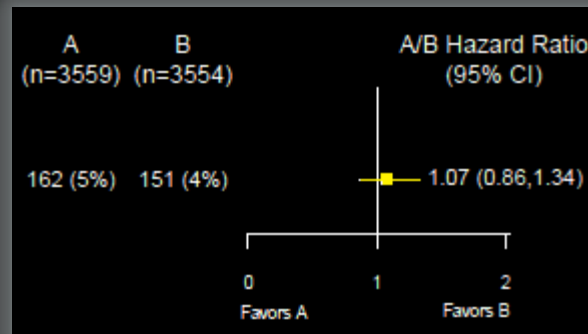
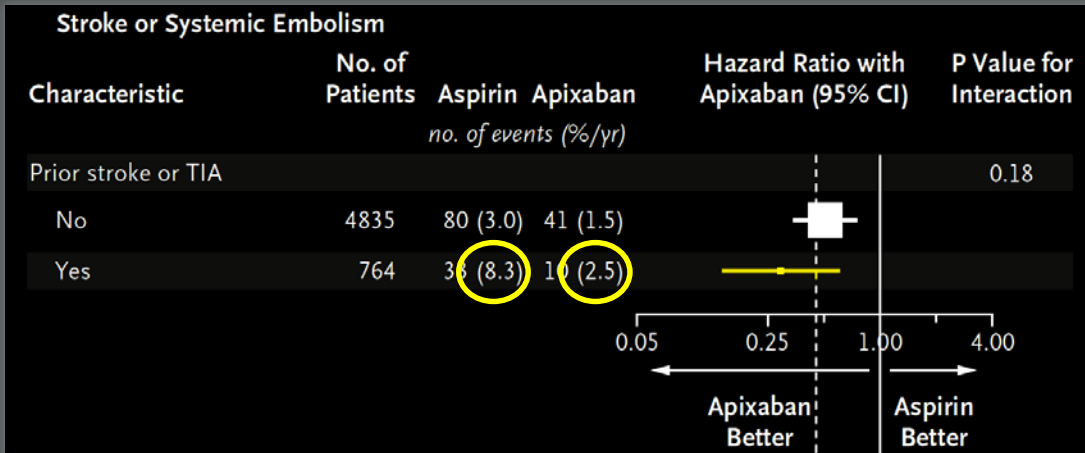


Hemorrhage risk

HR 1.19; 95% CI: 0.85-1.66



AVERROES



THE NEW ENGLAND JOURNAL OF MEDICINE

ORIGINAL ARTICLE

Apixaban in Patients with Atrial Fibrillation

Stuart J. Connolly, M.D., John Eikelboom, M.B., B.S., Campbell Joyner, M.D., Hans-Christoph Diener, M.D., Ph.D., Robert Hart, M.D., Sergey Golitsyn, M.D., Ph.D., Rafael Diaz, M.D., Alvaro Avezum, M.D., Ph.D., Stefan H. Hohnloser, M.D., Andrzej Budaj, M.D., Mario Talajic, M.D., Jun Zhu, M.D., Prem Pais, M.B., B.S., M.D., Patrick Commerford, M.B., Ch.B., Alexander Parkhomenko, M.D., Ph.D., Petr Jansky, M.D., Basil S. Lewis, M.D., Ch.B., Ru San Tan, M.B., B.S., Kui-Hian Sim, M.B., B.S., Jae Hyung Kim, M.D., Ph.D., Fernando Lanas-Zanetti, M.D., Gregory Y.H. Lip, M.D., Antonio Gonzalez-Hermosillo, M.D., Antonio L. Dans, M.D., Muhammad Munawar, M.D., Ph.D., Martin O'Donnell, M.B., Ph.D., John Lawrence, M.D., Gayle Lewis, Rizwan Afzal, M.Sc., and Salim Yusuf, M.B., B.S., D.Phil., for the AVERROES Steering Committee and Investigators*

BACKGROUND

Vitamin K antagonists have been shown to prevent stroke in patients with atrial fibrillation. However, many patients are not suitable candidates for or are unwilling to receive vitamin K antagonist therapy, and these patients have a high risk of stroke. Apixaban, a novel factor Xa inhibitor, may be an alternative treatment for such patients.

RESULTS

In a double-blind study, we randomly assigned 5999 patients with atrial fibrillation who were at increased risk for stroke and for whom vitamin K antagonist therapy was unsuitable to receive apixaban (at a dose of 5 mg twice daily) or aspirin (81 to 324 mg per day), to determine whether apixaban was superior. The mean follow-up period was 1.1 years. The primary outcome was the occurrence of stroke or systemic embolism.

CONCLUSIONS

In patients with atrial fibrillation for whom vitamin K antagonist therapy was unsuitable, apixaban reduced the risk of stroke or systemic embolism without significantly increasing the risk of major bleeding or intracranial hemorrhage. (Funded by Bristol-Myers Squibb and Pfizer; ClinicalTrials.gov number, NCT00496769.)

ABSTRACT

The affiliations of the authors are listed in the Appendix. Address reprint requests to Dr. Connolly at Population Health Research Institute, 237 Barton St. E., Hamilton, ON L8L 2K2, Canada, or at stuart.connolly@phri.ca.

*A complete list of the AVERROES (Apixaban Versus Acetylsalicylic Acid [ASA] to Prevent Stroke in Atrial Fibrillation Patients Who Have Failed or Are Unsuitable for Vitamin K Antagonist Treatment) Steering Committee members and site investigators is available in the Supplementary Appendix, available at NEJM.org.

This article (10.1056/NEJMoa1007432) was published on February 10, 2011, at NEJM.org.

N Engl J Med 2011; 364:838-847.

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10.1056/NEJMoa1007432 NEJM 0182

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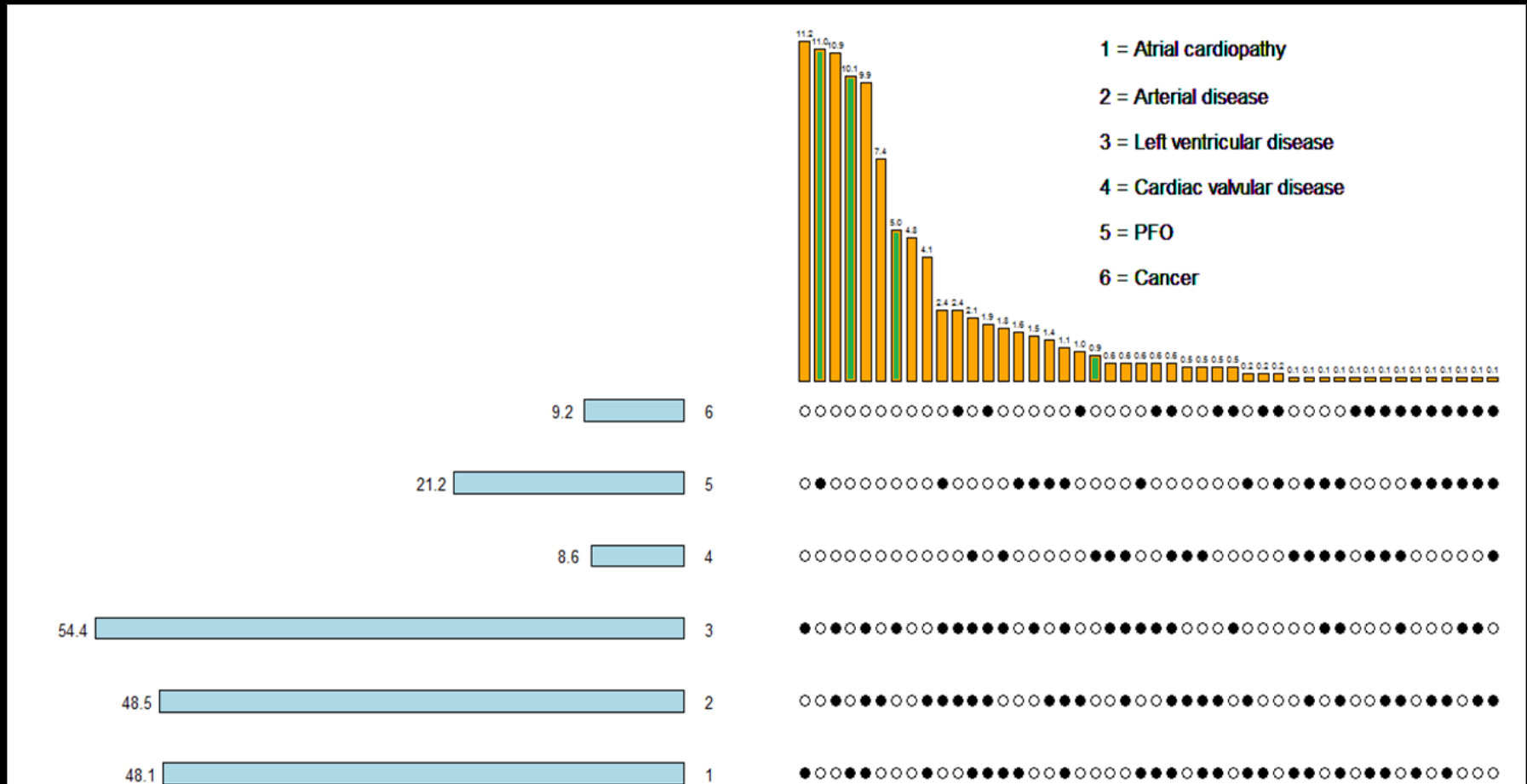
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Connolly, et al. NEJM 2011

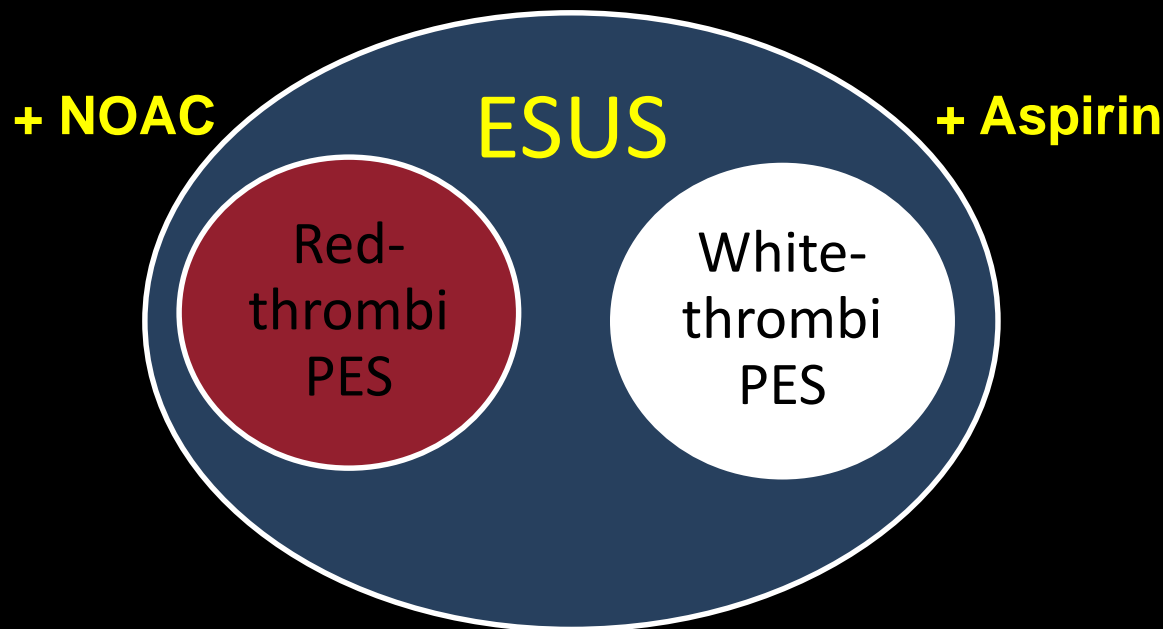
ESUS: red & white thrombi



ESUS: Overlap of potential embolic sources



ESUS: Implications for future research



	Hazard Ratio (95% CI)	P Value
Ischemic or uncertain type	0.51 (0.38–0.68)	<0.001
Major bleeding	1.70 (1.40–2.05)	<0.001
Net-clinical-benefit outcome: CV death, stroke, myocardial infarction, fatal bleeding, or symptomatic bleeding into critical organ	0.80 (0.70–0.91)	<0.001



Take-home messages

Atrial fibrillation

- Aspirin for AF patients? → NO
- NOAC or VKA? → NOACs
- SAME-TTR to select oral anticoagulant? → Maybe, but not sure if improves outcomes
- When to restart OAC after ischemic stroke? → 1-3-6-12
- Is there a role for left atrial appendage occlusion? → If OAC is contraindicated, perhaps
- Carotid filter for stroke prevention? → Too premature

Heart failure with sinus rhythm

- Is there a role for OAC? → No

Atherosclerotic stroke

- low-dose rivaroxaban & aspirin → Significant net clinical benefit
- LDL targets → <55mg/dl (1.42mmol/l)

Minor strokes

- Dual antiplatelet treatment: for how long? → ~3 weeks

PFO

- Closure or medical treatment? → Closure for <60years, especially if higher risk PFOs
- OAC or aspirin in non-closed PFOs? → Aspirin

ESUS

- ESUS vs. cryptogenic → ESUS
- NAVIGATE ESUS and RE-SPECT ESUS results → Negative
- Potential explanation and implications for future research → overlap of red & white thrombi